

# FROM AUTOMATIC STRUCTURES TO AUTOMATIC GROUPS

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**ABSTRACT.** In this paper we introduce the concept of a Cayley graph automatic group (CGA group or graph automatic group, for short) which generalizes the standard notion of an automatic group. Like the usual automatic groups graph automatic ones enjoy many nice properties: these group are invariant under the change of generators, they are closed under direct and free products, certain types of amalgamated products, and finite extensions. Furthermore, the Word Problem in graph automatic groups is decidable in quadratic time. However, the class of graph automatic groups is much wider then the class of automatic groups. For example, we prove that all finitely generated 2-nilpotent groups and Baumslag-Solitar groups  $B(1, n)$  are graph automatic, as well as many other metabelian groups.

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## 1. INTRODUCTION

Automata theory has unified many branches of algebra, logic, and computer science. These include group theory (e.g., automatic groups [15], branch and self-similar groups [1, 35]), the theory of automatic structures [8, 26, 28, 42]), finite model theory, algorithms and decidability, decision problems in logic [11, 40], model checking and verification [46]. In this paper we use finite automata in representation of infinite mathematical structures, emphasizing automata representations of groups via their Cayley graphs.

The idea of using automata to investigate algorithmic, algebraic and logical aspects of mathematical structures goes back to the work of Büchi and Rabin [11, 40]. They established an intimate relationship between automata and the monadic second order (MSO) logic, where, to put it loosely, automata recognizability is equivalent to definability in the MSO logic. Through this relationship Büchi proved that the MSO theory of one successor function on the set  $\mathbb{N}$  is decidable [11]. Rabin used automata to prove that the MSO theory of two successors is decidable [40]. The latter implies decidability of the first-order theories of many structures, for example: linear orders, Boolean algebras, Presburger arithmetic, and term algebras [40].

In 1995 Khoussainov and Nerode, motivated by investigations in computable model theory and the theory of feasible structures, used finite automata for representation of structures [26], thus initiating the whole development of the theory of automatic structures (e.g. see [26, 8, 42, 43]). Here a structure is called *automatic* if it is isomorphic to a structure whose domain and the basic operations and relations are recognized by finite automata. Automaticity implies the following three fundamental properties of structures:

- (1) The first order theory of every automatic structure is uniformly decidable [26] [8];
- (2) The class of automatic structures is closed under definability (with parameters) in the first order logic and in certain extensions of it [8] [30] [31];
- (3) There is an automatic structure (a *universal automatic structure*) in which all other automatic structures are first-order interpretable [8].

There are many natural examples of automatic structures: some fragments of the arithmetic, such as  $(\mathbb{N}; +)$ , state spaces of computer programs, the linear order of the rational numbers, the configuration spaces of Turing machines. However, not that many groups are automatic in this sense. In

particular (see Section 15), a finitely generated group is automatic (as a structure) if and only if it is virtually abelian.

In modern group theory there are already several ways to represent groups by finite automata. One of these is to consider finite automata with letter-by-letter outputs, known as Mealy automata. Every such automaton determines finitely many length preserving functions on the set of strings  $X^*$  over the alphabet  $X$  of the automaton. If these functions are permutations then they generate a group, called an *automata group*. Automata groups enjoy some nice algorithmic properties, for instance, decidability of the word problem. These groups are also a source of interesting examples. For instance, the famous Grigorchuk group is an automata group. We refer to the book [2] for detail.

Another way to use finite automata in group representations comes from algorithmic and geometric group theory and topology. Ideas of Thurston, Cannon, Gilman, Epstein and Holt brought to the subject a new class of groups, termed *automatic groups*, and revolutionized computing with infinite groups (see the book [15] for details). The initial motivation for introducing automatic groups was two-fold: to understand the fundamental groups of compact 3-manifolds and to approach their natural geometric structures via the geometry and complexity of the optimal normal forms; and to make them tractable for computing.

Roughly, a group  $G$  generated by a finite set  $X$  (with  $X^{-1} = X$ ) is automatic if there exists a finite automata recognizable (i.e., rational or regular) subset  $L$  of  $X^*$  such that the natural mapping  $u \rightarrow \bar{u}$  from  $L$  into  $G$  is bijective, and the right-multiplication by each of the generators from  $X$  can be performed by a finite automata.

This type of automaticity implies some principal "tameness" properties enjoyed by every automatic group  $G$ :

- (A)  $G$  is finitely presented.
- (B) The Dehn function in  $G$  is at most quadratic.
- (C) There is a constant  $k$  such that the words from  $L$  (the normal forms) of elements in  $G$  which are at most distance 1 apart in the Cayley graph  $\Gamma(G, X)$  of  $G$  are  $k$ -fellow travelers in  $\Gamma(G, X)$ .

Most importantly, as was designed at the outset, the word problem in automatic groups is easily computable (the algorithmic complexity of the conjugacy problem is unknown):

- (D) The word problem in a given automatic groups is decidable in quadratic time.
- (E) For any word  $w \in X^*$  one can find in quadratic time its representative in  $L$ .

Examples of automatic groups include hyperbolic groups, braid groups, mapping class groups, Coxeter groups, Artin groups of large type, and many other groups. In addition, the class of automatic groups is closed under direct sums, finite extensions, finite index subgroups, free products, and some

particular amalgamated free products. Yet many classes of groups that possess nice representations and algorithmic properties fail to be automatic. Most strikingly, a finitely generated nilpotent group is automatic if and only if it is virtually abelian. To this end we quote Farb [16]: “The fact that nilpotent groups are not automatic is a bit surprising and annoying, considering the fact that nilpotent groups are quite common and have an easily solved word problem.” The book [15] and a survey by Gersten [17] also raise a similar concern, though they do not indicate what could be possible generalizations. In the view of the initial goals, nowadays we know precisely from Epstein-Thurston classification what are compact geometrisable 3-manifolds whose fundamental groups are automatic [15]. The upshot of this classification, is that the fundamental group of a compact geometrisable 3-manifold  $M$  is automatic if and only if none of the factors in the prime decomposition of  $M$  is a closed manifold modeled on Nil or Sol. Thus, it turned out that the class of automatic groups is nice, but not sufficiently wide. In the geometric framework the quest for a suitable generalization comes inspired by the following “geometric” characterization of automatic groups as those that have a regular set of normal forms  $L \subseteq X^*$  satisfying (C). Two main ideas are to replace the regular language  $L$  with some more general language, and keep the fellow traveller property, perhaps, in a more general form. Groups satisfying (C) with the formal language requirement of rationality weakened or eliminated entirely are called *combable*. In general combable groups are less amenable to computation than automatic groups. We refer to the work of Bridson [9] for an account of the relation between combable and automatic groups. On the other hand, a more relaxed fellow traveller property, called *asynchronous fellow travelers*, was introduced at the very beginning, see the book [15]. But Epstein and Holt [15] showed that the fundamental group of a closed Nil manifold is not even asynchronously automatic. Finally, a geometric generalization of automaticity, that covers the fundamental groups of all compact 3-manifolds which satisfy the geometrization conjecture, was given by Bridson and Gilman in [10]. However, as far as we know, these geometrically natural generalizations lose the nice algorithmic properties mentioned above.

In fact, from the algorithmic standpoint, the properties (A) and (B) can be viewed as unnecessary restrictions, depriving automaticity for a wide variety of otherwise algorithmically nice groups such as nilpotent or metabelian groups.

In this paper we propose a natural generalization of automatic groups and introduce the class of Cayley graph automatic groups. A finitely generated group  $G$  is called *Cayley graph automatic*, or *graph automatic* or *CGA* for short, if it satisfies the definition of an automatic group as above, provided the condition that the alphabet  $X$  is a set of generators of  $G$ , is removed. Equivalently, a finitely generated group is *graph automatic* if its Cayley graph is an automatic structure in the sense of Khoussainov and

Nerode. The former definition immediately implies that the standard automatic groups are graph automatic. However, there are many examples of graph automatic groups that are not automatic. These include Heisenberg groups, Baumslag-Solitar groups  $BS(1, n)$ , arbitrary finitely generated groups of nilpotency class two, and some nilpotent groups of higher class, such as unitriangular groups  $UT(n, \mathbb{Z})$ , as well as many metabelian groups and solvable groups of higher class, like  $T(n, \mathbb{Z})$ . Moreover, we do not have the restrictions (A) and (B) any more. As in the case of automatic groups the class of graph automatic groups is closed under free products, direct sums, finite extensions, wreath-products, and certain types of amalgamated products, etc. This shows that the class of graph automatic groups, indeed, addresses some concerns mentioned above, but whether the class is good enough remains to be seen. Firstly, we do not know if every finitely generated nilpotent group is graph automatic or not, in particular, the question if a finitely generated free nilpotent group of class 3 is graph automatic is still open. Likewise, we do not know any geometric condition that would give a characterization of graph automatic groups similar to property (C). On a positive side though there is a crucial algorithmic result stating that again the word problem for graph automatic groups is decidable in quadratic time, so property (D) is preserved. Moreover, there is a new "logical" condition that gives a powerful test to check if a given group is graph automatic. Namely, the group  $G$  is graph automatic if and only if its Cayley graph  $\Gamma(G, X)$  is first-order interpretable in an automatic structure or, equivalently, is interpretable in a fixed *universal* automatic structure (see above and also Section 9 for definitions and examples). In addition, there is a natural notion of a *graph biautomatic* group, which generalizes the standard class of biautomatic groups, with similar algorithmic properties. For instance, the conjugacy problem in graph biautomatic groups is decidable. In this case the proofs are simpler and more straightforward than in the classical one. It seems it might be a chance to address the old problem whether automaticity implies biautomaticity in this new setting, which might shed some light on the old problem itself, but presently this is a pure speculation.

On a philosophical note we would like to mention that there is a large overlap in ideas and proof methods employed in the study of automatic structures and automatic groups of various types. In this paper we also aim to present the ideas and the proof methods in a unified form, which makes them more available for use in both areas.

## 2. FINITE AUTOMATA

We start with the basic definitions from finite automata theory. Let  $\Sigma$  be a finite alphabet. The set of all finite strings over  $\Sigma$  is denoted by  $\Sigma^*$ . The variables  $u, v, w$  represent strings. The empty string is  $\lambda$ . The length of a string  $u$  is denoted by  $|u|$ . For a set  $X$ ,  $P(X)$  is the set of all subsets of  $X$ . The cardinality of  $X$  is denoted by  $|X|$ .

**Definition 2.1.** A **nondeterministic finite automaton** (NFA for short) over  $\Sigma$  is a tuple  $(S, I, T, F)$ , where  $S$  is the set of **states**,  $I \subseteq S$  is the set of initial states,  $T$  is the **transition function**  $T : S \times \Sigma \rightarrow P(S)$ , and  $F \subseteq S$  is the set of **accepting states**. We use the letter  $\mathcal{M}$  possibly with indices to denote NFA.

One can visualize an NFA  $\mathcal{M}$  as a labeled graph called the transition diagram of the automaton. The states of the NFA represent the vertices of the graph. We put a directed edge from state  $s$  to state  $q$  and label it with  $\sigma$  if  $q \in T(s, \sigma)$ . These are called  **$\sigma$ -transitions**.

Let  $\mathcal{M}$  be an NFA. A **run** of  $\mathcal{M}$  on the string  $w = \sigma_1\sigma_2\ldots\sigma_n$  is a sequence of states  $s_1, s_2, \ldots, s_n, s_{n+1}$  such that  $s_1 \in I$  and  $s_{i+1} \in T(s_i, \sigma_i)$  for all  $i = 1, \ldots, n$ . The automaton might have more than one run on the string  $w$ . These runs of the automaton can be viewed as paths in the transition diagram labeled by  $w$ .

**Definition 2.2.** The automaton  $\mathcal{M}$  accepts the string  $w = \sigma_1\sigma_2\ldots\sigma_n$  if  $\mathcal{M}$  has a run  $s_1, s_2, \ldots, s_n, s_{n+1}$  on  $w$  such that  $s_{n+1} \in F$ . The **language accepted by  $\mathcal{M}$** , denoted by  $L(\mathcal{M})$ , is the following language:

$$\{w \mid \text{the automaton } \mathcal{M} \text{ accepts } w\}.$$

A language  $L \subseteq \Sigma^*$  is **FA recognizable** if there exists an NFA  $\mathcal{M}$  such that  $L = L(\mathcal{M})$ .

It is well-known that the set of all NFA recognizable languages in  $\Sigma^*$  forms a Boolean algebra under the set-theoretic operations of union, intersection, and complementation; and every NFA recognizable language is also recognizable by a deterministic finite automata. By well-known Kleene's theorem the class of FA recognizable languages coincided with the class of regular languages. Therefore, often we refer to FA recognizable languages also as regular languages.

We now introduce the notion of automata that recognizes relations over the set  $\Sigma^*$ . This is done through the following definitions and notation. We write  $\Sigma_\diamond$  for  $\Sigma \cup \{\diamond\}$  where  $\diamond \notin \Sigma$ . The *convolution of a tuple*  $(w_1, \ldots, w_n) \in \Sigma^{*n}$  is the string

$$\otimes(w_1, \ldots, w_n)$$

of length  $\max_i |w_i|$  over alphabet  $(\Sigma_\diamond)^n$  defined as follows. The  $k$ 'th symbol of the string is  $(\sigma_1, \ldots, \sigma_n)$  where  $\sigma_i$  is the  $k$ 'th symbol of  $w_i$  if  $k \leq |w_i|$  and  $\diamond$  otherwise. For instance, for  $w_1 = aabaaab$ ,  $w_2 = bbabbabbb$ , and  $w_3 = aab$ , we have

$$\otimes(w_1, w_2, w_3) = \begin{pmatrix} a & a & b & a & a & a & b & \diamond & \diamond \\ b & b & a & b & b & a & b & b & b \\ a & a & b & \diamond & \diamond & \diamond & \diamond & \diamond & \diamond \end{pmatrix}$$

**Definition 2.3.** The *convolution of a relation*  $R \subset \Sigma^{*n}$  is the relation  $\otimes R \subset (\Sigma_\diamond)^{n*}$  formed as the set of convolutions of all the tuples in  $R$ , i.e.,

$$\otimes R = \{\otimes(w_1, \ldots, w_n) \mid (w_1, \ldots, w_n) \in R\}.$$

The convolution operation codes up relations in  $\Sigma^{*n}$  into usual languages but over a special alphabet. This allows one to define finite automata recognizable relations in the standard way.

**Definition 2.4.** An  $n$ -ary relation  $R \subset \Sigma^{*n}$  is **FA recognizable** if its convolution  $\otimes R$  is recognizable by a finite automaton in the alphabet  $(\Sigma_\diamond)^n$ .

Intuitively, a finite automaton recognizing an  $n$ -ary relation  $R \subset \Sigma^{*n}$  can be viewed as a finite automaton with  $n$  heads. All heads read distinct tapes and make simultaneous transitions. Therefore, finite automata over the alphabet  $(\Sigma_\diamond)^n$  are also called a *synchronous  $n$ -tape automaton* on  $\Sigma$ . FA recognizable relations in  $\Sigma^{*n}$  are also called *regular relations*.

**Example 2.5.** Here are several examples of FA recognizable relations over  $\Sigma$ . These are examples of linear orders on  $\Sigma^*$  that are recognized by finite automata:

- The lexicographic order on strings:  $\leq_{lex} = \{(x, y) \mid x, y \in \Sigma^* \text{ and } x \text{ is lexicographically less than } y \text{ or } x = y\}$ .
- The prefix order on strings:  $\leq_{pref} = \{(x, y) \mid x, y \in \Sigma^* \text{ and } x \text{ is a prefix of } y\}$ .
- The length-lexicographic order on strings:  $\leq_{llex} = \{(x, y) \mid x, y \in \Sigma^* \text{ and either } |x| < |y| \text{ or } (|x| = |y| \text{ and } x \leq_{lex} y)\}$ .

We note that often in the text we identify the convoluted word  $\otimes(w_1, \dots, w_n)$  with the tuple  $(w_1, \dots, w_n)$ . This will be clear from the context.

### 3. AUTOMATIC STRUCTURES

In this section we introduce automatic structures, give several examples, and provide some known results on automatic structures. By a **structure**  $\mathcal{A}$  we mean a tuple

$$(A; P_0^{n_0}, \dots, P_k^{n_k}, f_0^{m_0}, \dots, f_t^{m_t}),$$

where the set  $A$  is the domain of the structure  $\mathcal{A}$ , each  $P_i^{n_i}$  is a relation of arity  $n_i$  on  $A$ , and each  $f_j^{m_j}$  is a total operation of arity  $m_j$  on  $A$ . These relations and operations are often called atomic. The structure  $\mathcal{A}$  is **relational** if it contains no operations. Every structure  $\mathcal{A}$  can be transformed into a relational structure. This is done by replacing each atomic operation  $f_j^{m_j} : A^{m_j} \rightarrow A$  with its graph:

$$Graph(f_j^{m_j}) = \{(a_1, \dots, a_{m_j}, a) \mid f_j^{m_j}(a_1, \dots, a_{m_j}) = a\}.$$

The sequence of symbols  $P_0^{n_0}, \dots, P_k^{n_k}, f_0^{m_0}, \dots, f_t^{m_t}$  is called a signature of the structure. Below is the key definition of this paper:

**Definition 3.1.** The structure  $\mathcal{A} = (A; P_0^{n_0}, \dots, P_k^{n_k}, f_0^{m_0}, \dots, f_t^{m_t})$  is called **automatic** if all the domain  $A$ , the predicates  $P_0^{n_0}, \dots, P_k^{n_k}$ , and the graphs of operations  $Graph(f_0^{m_0}), \dots, Graph(f_t^{m_t})$  are FA recognizable.

Here are some examples of automatic structures:



**Example 3.2.** The structure  $(1^*; S, \leq)$ , where  $S(1^n) = 1^{n+1}$  and  $1^n \leq 1^m$  iff  $n \leq m$  for  $n, m \in \omega$ .

**Example 3.3.** The structure  $(\{0, 1\}^*; \leq_{lex}, \leq_{pref}, \leq_{llex})$ , where the orders are defined in Example 2.5.

**Example 3.4.** The structure  $(Base_k; Add_k)$ , where  $Base_k = \{0, 1, \dots, k-1\}^* \cdot \{1, \dots, k-1\}$ . In this example each word  $w = x_0 \dots x_n \in Base_k$  is identified with the number

$$val_k(w) = \sum_{i=0}^n x_i k^i.$$

This gives the least significant digit first base- $k$ -representation of natural numbers. The predicate  $Add_k$  is the graph of the  $k$ -base addition of natural numbers, that is  $Add_k = \{(u, v, w) \mid val_k(u) + val_k(v) = val_k(w)\}$ . This structure is isomorphic to the natural numbers with addition  $\mathcal{P} = \langle \mathbb{N}, + \rangle$  known as Presburger arithmetic.

Let  $\mathcal{A}$  be a structure. The **isomorphism type** of the structure is the class of all structures isomorphic to it. We identify structures up to isomorphism. Therefore, we are interested in those structures whose isomorphism types contain automatic structures.

**Definition 3.5.** A structure  $\mathcal{B}$  is **automata presentable** if there exists an automatic structure  $\mathcal{A}$  isomorphic to  $\mathcal{B}$ . In this case  $\mathcal{A}$  is called an **automatic presentation** of  $\mathcal{B}$ .

We would like to give several comments about this definition. The first is that an automatic presentation  $\mathcal{A}$  of a structure  $\mathcal{B}$  can be viewed as a finite sequence of automata representing the domain, the atomic relations, and operations of the structure. The sequence is finite. Hence, automatic presentations are just finite objects that describe the structure. The second is that if a structure  $\mathcal{B}$  has an automatic presentation, then  $\mathcal{B}$  has infinitely many automatic presentations. Finally, in order to show that  $\mathcal{B}$  has an automatic presentation, one needs to find an automatic structure  $\mathcal{A}$  isomorphic to  $\mathcal{B}$ . Thus, to show that  $\mathcal{B}$  does not possess an automatic presentation one needs to prove that for all automatic presentations  $\mathcal{A}$  all bijective mappings  $f : \mathcal{B} \rightarrow \mathcal{A}$  fail to establish an isomorphism. In a logical formalism the definition of automaticity is a  $\Sigma_1^1$ -definition in the language of arithmetic.

Since we are mostly interested in the isomorphism types of the structures, we often abuse our definitions and refer to automata presentable structures as automatic structures. Below we give some examples of automatic (automata presentable) structures.

- The Boolean algebra  $\mathcal{B}_\omega$  of finite and co-finite subsets of  $\mathbb{N}$ . To show that  $\mathcal{B}_\omega$  is automata presentable, we code elements of  $\mathcal{B}_\omega$  as finite binary strings in a natural way. For example, the string 01101101 represents the infinite set  $\{1, 2, 4, 5, 7, 8, 9, 10, 11, \dots\}$  and the string



0110110 represents the finite set  $\{1, 2, 4, 5\}$ . Under this coding,  $\mathcal{B}_\omega$  is automata presentable.

- The additive group  $(\mathbb{Z}, +)$  is automata presentable.
- Finitely generated abelian groups are automata presentable. This follows from the fact that such groups are finite direct sums of  $(\mathbb{Z}, +)$  and finite abelian groups.
- Small ordinals of the form  $\omega^n$ , where  $n \in \mathbb{N}$ .

For a given formula  $\phi(\bar{x}_1, \dots, \bar{x}_k)$ , we set  $\phi(\mathcal{A})$  be all tuples  $(\bar{a}_1, \dots, \bar{a}_k)$  in structure  $\mathcal{A}$  that satisfy  $\mathcal{A}$ . We now give the following definition that will be used in this paper quite often:

**Definition 3.6.** A structure  $\mathcal{B} = (B; R_1, \dots, R_m)$  is **interpretable in structure**  $\mathcal{A} = (A, S_1, \dots, S_n)$  if there are formulas

$$\mathcal{D}(\bar{x}), \phi_1(\bar{x}_1, \dots, \bar{x}_{k_1}), \dots, \phi_m(\bar{x}_1, \dots, \bar{x}_{k_m})$$

of the first order logic such that:

- (1) all tuples  $\bar{x}, \bar{x}_1, \dots, \bar{x}_{k_n}$  of variables have the same length, and
- (2) The structure  $(D(\mathcal{A}); \phi_1(\mathcal{A}), \dots, \phi_m(\mathcal{A}))$  is isomorphic to  $\mathcal{B}$ .

The following is a foundational theorem in the study of automatic structures. The proof of the theorem follows from closure properties of finite automata under set-theoretic Boolean operations, the projection operation, and decidability of the emptiness problem for automata. Recall that the emptiness problem asks if there exists an algorithm to check if the language  $L(\mathcal{M})$  of a given finite automaton  $\mathcal{M}$  is empty or not.

**Theorem 3.7** (The Definability and Decidability Theorem). [8] [26] [30]

- (1) *There is an algorithm that, given an automatic presentation of any structure  $\mathcal{A}$  and a first-order formula  $\varphi(x_1, \dots, x_n)$ , produces an automaton recognizing those tuples  $(a_1, \dots, a_n)$  that make the formula true in  $\mathcal{A}$ .*
- (2) *If a structure  $\mathcal{A}$  is first-order interpretable in an automatic structure  $\mathcal{B}$  then  $\mathcal{A}$  has an automatic presentation.*
- (3) *The first-order theory of every automatic structure is decidable.*  $\square$

Note that there are several generalizations of this theorem to logics extending the first order logic. One important generalization is the following. To the first order logic  $FO$  add the following two quantifiers:  $\exists^\omega$  (there exists infinitely many) and  $\exists^{n,m}$  (there exists  $m$  many modulo  $n$ ). Denote the resulting logic as  $FO + \exists^\omega + \exists^{n,m}$ . The theorem above can be extended to this extended logic [43] and other automata presentable structures [31].

We will be using the theorem above without explicit references. For instance, the Presburger arithmetic  $\mathcal{P} = \langle \mathbb{N}, + \rangle$  is clearly an automatic structure by Example 3.4. Hence any structure definable in  $\mathcal{P}$  is automatic. We will use this observation in some of our arguments.

Furthermore, there are some *universal* automatic structures, i.e., automatic structures  $\mathcal{A}$  such that a structure  $\mathcal{B}$  has an automatic presentation

if and only if it is first-order interpretable in  $\mathcal{A}$ . Consider the following two structures:

**Example 3.8.**

$$\mathbb{N}_2 = (\mathbb{N}; +, |_2),$$

where  $+$  is the standard addition and  $x|_2y \Leftrightarrow x = 2^k \& y = x \cdot z$  for some  $k, z \in \mathbb{N}$ .

**Example 3.9.**

$$\mathcal{M} = (\Sigma^*; R_a(x, y), x \preceq y, el(x, y))_{a \in \Sigma},$$

where  $\Sigma$  is a finite alphabet with  $|\Sigma| \geq 2$ ,  $R_a(x, y) \Leftrightarrow y = xa$ ,  $x \preceq y \Leftrightarrow x$  is a prefix of  $y$ ,  $el(x, y) \Leftrightarrow |x| = |y|$ .  $\square$

The following theorem gives a pure model theoretic characterization of automatically presentable structures.

**Theorem 3.10.** [8] *The structures  $\mathbb{N}_2$  and  $\mathcal{M}$  are universal automatic structures. In particular,  $\mathbb{N}_2$  and  $\mathcal{M}$  are interpretable in each other.*

#### 4. CAYLEY GRAPHS

In the next section we will introduce automaticity into groups through their Cayley graphs. This section recalls the definition of Cayley graphs and some of their basic properties.

Let  $G$  be an infinite group generated by a finite set  $X$ . There exists a natural onto map from  $X^*$  into  $G$  mapping the words  $v$  into the group elements  $\bar{v}$ . **The word problem** for  $G$  (with respect to  $X$ ) is the following set

$$W(G, X) = \{(u, v) \mid u, v \in X^* \& \bar{u} = \bar{v} \text{ in group } G\}.$$

The word problem for  $G$  is decidable if there exists an algorithm that given two words  $u, v \in X^*$  decides if  $\bar{u} = \bar{v}$ . It is not hard to see that decidability of  $W(G, X)$  does not depend on finite set of generators for  $G$ .

The group  $G$  and the finite set  $X$  of generators determine the following graph, called a *Cayley graph of  $G$* , and denoted by  $\Gamma(G, X)$ . The vertices of the graph are the elements of the group. For each vertex  $g$  we put a directed edge from  $g$  to  $gx$ , where  $x \in X$ , and label the edge by  $x$ . Thus,  $\Gamma(G, X)$  is a labeled directed graph.

We view a labeled directed graph  $\Gamma = (V, E)$  with the labels of the graph from a finite set  $\Sigma = \{\sigma_1, \dots, \sigma_n\}$  as the following structure:

$$(V, E_{\sigma_1}, \dots, E_{\sigma_n}),$$

where  $E_{\sigma} = \{(x, y) \mid (x, y) \in E \text{ and the label of } (x, y) \text{ is } \sigma\}$  for  $\sigma \in \Sigma$ .

For the next lemma we need one definition from the theory of computable structures. We say that a labeled directed graph  $(V, E)$  is **computable** if its vertex set  $V$  and the labeled edge sets  $E_x$ ,  $x \in X$ , are all computable subsets of  $\Sigma^*$ , where  $\Sigma$  is a finite alphabet. We refer to the set  $\Sigma^*$  as *the domain of discourse*. Thus, computability of the graph  $(V, E)$  states that

- (1) There is an algorithm that given a vertex  $u$  from the domain of discourse, decides if  $u \in V$ , and
- (2) There is an algorithm that, given any two vertices  $v$  and  $w$  from  $V$  and a label  $x \in X$ , decides if there exists an edge from  $v$  to  $w$  labeled by  $x$ .

Here are some basic properties of the Cayley graph  $\Gamma(G, X)$ .

**Lemma 4.1.** *The Cayley graph  $\Gamma(G, X)$  satisfies the following properties:*

- (1) *The graph is strongly connected, that is between any two vertices of the graph there is a path connecting one to another.*
- (2) *The out-degree and in-degree of each node is  $|X|$ .*
- (3) *The graph is transitive, that is, for any two vertices  $g_1$  and  $g_2$  of the graph there exists an automorphism  $\alpha$  of  $\Gamma(G, X)$  such that  $\alpha(g_1) = g_2$ .*
- (4) *The group of (label respecting) automorphisms of  $\Gamma(G, X)$  is isomorphic to  $G$ .*
- (5) *The graph  $\Gamma(G, X)$  is computable if and only if the word problem in  $G$  is decidable.*

*Proof.* The first four parts of the lemma are standard. The last part of the lemma needs an explanation. Assume that the word problem  $W(G, X)$  in  $G$  is decidable. Then there exists an algorithm that, given any two words  $w$  and  $v$  over  $X$ , decides if  $v = w$  in the group  $G$ . Now we construct the graph  $\Gamma(G, X)$  as follows. The vertex set  $V$  of the graph consists of all words  $v \in X^*$  such that any word  $w$  that is equal to  $v$  in  $G$  is length-lexicographically larger than or equal to  $v$ . Clearly, this set  $V$  of vertices is computable. Since the word problem is decidable in  $G$ , we can use the algorithm for the word problem to decide if there exists an edge from  $v_1$  to  $v_2$  labeled by  $x \in X$ . This shows that  $\Gamma(G, X)$  is a computable graph. Assume that the Cayley graph  $\Gamma(G, X)$  is computable. Then given any two words  $w_1$  and  $w_2$  in  $X^*$  one can effectively find two vertices  $v_1$  and  $v_2$  that represents  $w_1$  and  $w_2$  in the graph, respectively. Then  $w_1 = w_2$  in the group  $G$  if and only if  $v_1 = v_2$ . Hence the word problem in  $G$  is decidable.  $\square$

## 5. $\aleph_1$ -CATEGORICITY

We prove one model-theoretic property of Cayley graphs that has an algorithmic implication. This will have a direct relation with automaticity. We start with one important definition from model theory [22]. A complete theory  $T$  in the first order logic is called  $\aleph_1$ -categorical if all models of  $T$  of cardinality  $\aleph_1$  are isomorphic. The lemma below shows that the theory of every infinite Cayley graph is  $\aleph_1$ -categorical. This result has two consequences. One is that decidability of the word problem for  $G$  is equivalent to decidability of the first order theory of its Cayley graph. The other will have a direct relation with automaticity that will be seen in a later sections.

We start with a lemma (interesting in its own right) that is true for all locally finite labeled directed graphs. In particular, the lemma can be applied to Cayley graphs. Recall that a directed graph is **locally finite** if every vertex of the graph has finitely many in-going and out-going edges. So, let  $\Gamma$  be a locally finite labeled and directed graph. For vertices  $x, y$  of the graph  $\Gamma$ , we set  $d(x, y)$  be the length of the shortest path from  $x$  to  $y$ . Then the  $n$ -ball around a vertex  $x$  is the set

$$B_n(x) = \{y \mid d(x, y) \leq n\}.$$

**Lemma 5.1.** *Let  $\Gamma_1$  and  $\Gamma_2$  be locally finite labeled connected and directed graphs. Assume that  $a$  and  $b$  are vertices of  $\Gamma_1$  and  $\Gamma_2$ , respectively, such that for all  $n \in \mathbb{N}$  there is an isomorphism from  $B_n(a)$  to  $B_n(b)$  sending  $a$  to  $b$ . Then there exists an isomorphism  $\alpha : \Gamma_1 \rightarrow \Gamma_2$  such that  $\alpha(a) = b$ .*

*Proof.* Each of the  $n$ -balls  $B_n(a)$  and  $B_n(b)$  is a finite set. There are finitely many isomorphisms from  $B_n(a)$  into  $B_n(b)$  that send  $a$  to  $b$ . Denote this set by  $I_n$ . By assumption,  $I_n \neq \emptyset$  for all  $n \in \mathbb{N}$ . Each such isomorphism  $\alpha \in I_n$  induces an isomorphism  $\alpha'$  from  $B_{n-1}(a)$  to  $B_{n-1}(b)$ ; so,  $\alpha' \in I_{n-1}$ . It is easy to see that the collection of all finite isomorphisms from  $B_n(a)$  into  $B_n(b)$ , where  $n \in \mathbb{N}$ , determines a finitely branching infinite tree (edges connect the isomorphisms  $\alpha$  and  $\alpha'$ ). Now apply König's lemma to select an infinite path  $P$  along the tree. This path  $P$  determines an isomorphism from  $\Gamma_1$  to  $\Gamma_2$  that sends  $a$  to  $b$ .  $\square$

**Lemma 5.2.** *Let  $G$  be a group generated by a finite set  $X$ . The theory of the Cayley graph  $\Gamma(G, X)$  is  $\aleph_1$ -categorical.*

*Proof.* Fix any element  $g$  of the Cayley graph  $\Gamma(G, X)$ . Consider the  $n$ -ball  $B_n(g)$ . Since  $\Gamma(G, X)$  is transitive  $B_n(g')$  is isomorphic to  $B_n(g)$  for all  $g' \in G$ . The theory  $T(G, X)$  of the graph contains the following sentences:

- (1) The sentence  $\Phi_{n,m}$  stating that there are  $m$  distinct elements  $x$  such that  $B_n(x)$  is isomorphic to  $B_n(g)$ , where  $m, n \in \mathbb{N}$ . Note that this is an infinite set of axioms.
- (2) The sentence  $\Phi_n$  stating that for all  $x$  the  $n$ -ball  $B_n(x)$  around  $x$  is isomorphic to  $B_n(g)$ , where  $n \in \mathbb{N}$ .

The theory  $T(G, X)$  described has a model which is the Cayley graph  $\Gamma(G, X)$  since the graph  $\Gamma(G, X)$  satisfies all the sentences of the theory. Our goal is to show that any two models  $\mathcal{A}$  and  $\mathcal{B}$  of this theory are isomorphic in case  $\mathcal{A}$  and  $\mathcal{B}$  have cardinality  $\aleph_1$ .

We note that each  $\mathcal{A}$  and  $\mathcal{B}$  is a labeled directed locally finite graph. As graphs they consist of strongly connected components. We refer to them simply as components. Note that each component in the graph  $\mathcal{A}$ , and hence in  $\mathcal{B}$ , is countable since the in-degree and out-degree of every element in  $\mathcal{A}$  is  $|X|$ . This implies that both  $\mathcal{A}$  and  $\mathcal{B}$  are disjoint unions of their components, where the cardinality of the union is  $\aleph_1$ .

Now, we show that any two components of  $\mathcal{A}$  and  $\mathcal{B}$  are isomorphic. Indeed, take two elements  $a \in \mathcal{A}$  and  $b \in \mathcal{B}$ , respectively. By the axioms of  $T(G, X)$ , for each  $n \in \mathbb{N}$  there is an isomorphism from  $B_n(a)$  to  $B_n(b)$  that maps  $a$  to  $b$ . Apply the lemma above to build an isomorphism from the component of  $a$  onto the component of  $b$ . This shows that all components of the graphs  $\mathcal{A}$  and  $\mathcal{B}$  are pairwise isomorphic. Therefore, we match the components of  $\mathcal{A}$  with components of  $\mathcal{B}$ , and build an isomorphism from  $\mathcal{A}$  to  $\mathcal{B}$ . Thus,  $T(G, X)$  is an  $\aleph_1$ -categorical theory.  $\square$

It is worth to note that the lemma stays true if we remove the labels from the edges of the Cayley graph  $\Gamma(G, X)$ . Namely, let  $\Gamma_u(G, X)$  be the directed graph obtained from  $\Gamma(G, X)$  by removing the labels from all the edges. Then the theory of the unlabeled graph  $\Gamma_u(G, X)$  is  $\aleph_1$ -categorical.

The lemma above allows us to address decidability of the word problem for the group  $G$  in terms of decidability of the theory  $T(G, X)$ :

**Theorem 5.3.** *The word problem  $W(G, X)$  in  $G$  is decidable if and only if the theory  $T(G, X)$  of the Cayley graph  $\Gamma(G, X)$  is decidable.*

*Proof.* Assume that the word problem in  $G$  is decidable. Our goal is to show that the theory  $T(G, X)$  is also decidable. It is clear that  $T(G, X)$  is effectively axiomatizable by the sentences  $\Phi_{n,m}$  and  $\Phi_n$  as follows from the proof of the lemma above. It is known that every  $\aleph_1$ -categorical theory  $T$  without finite models is complete, that is, for any sentence  $\phi$  either  $\phi$  belongs to  $T$  or  $\neg\phi$  belongs to  $T$  [22]. From the lemma above, we conclude that  $T(G, X)$  is a complete first order theory. Since  $T(G, X)$  is complete, for every  $\phi$  either  $\phi$  or  $\neg\phi$  is deducible from the axioms  $\Phi_{n,m}$  and  $\Phi_n$ . This implies decidability of  $T(G, X)$ .

Assume that the theory  $T(G, X)$  is decidable. Clearly,  $\Gamma(G, X)$  is a model of  $T$ . Now we use the result of Harrington (and independently Khisamiev) that states the following. If  $T$  is  $\aleph_1$ -categorical decidable theory then all of its countable models are computable [20] [25]. We conclude that  $\Gamma(G, X)$  is also a computable model of  $T(G, X)$ <sup>1</sup>. This proves the theorem.  $\square$

## 6. CAYLEY GRAPH AUTOMATIC GROUPS: DEFINITIONS AND EXAMPLES

In this section we introduce labeled automatic graphs and present several examples. Let  $\Gamma = (V, E)$  be a labeled directed graph. The labels of the graph are from a finite set  $\Sigma = \{\sigma_1, \dots, \sigma_n\}$ .

**Definition 6.1.** We view the graph  $\Gamma$  as the following structure:

$$(V, E_{\sigma_1}, \dots, E_{\sigma_n}),$$

where  $E_{\sigma} = \{(x, y) \mid (x, y) \in E \text{ and the label of } (x, y) \text{ is } \sigma\}$  for  $\sigma \in \Sigma$ . We say that the graph  $\Gamma$  is **automatic** if the structure  $(V, E_{\sigma_1}, \dots, E_{\sigma_n})$  is automatic.

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<sup>1</sup>In fact, one can effectively build the graph  $\Gamma(G, X)$  without referencing Harrington and Khisamiev's theorems. The reader can construct  $\Gamma(G, X)$  as an exercise.

Here are examples of automatic graphs.

**Example 6.2.** Let  $T$  be a Turing machine. The configuration space of  $T$  is the graph  $(Conf(T), E_T)$ , where:

- (1) The set  $Conf(T)$  is the set of all configurations of  $T$ , and
- (2) The set  $E_T$  of edges consists of all pairs  $(c_1, c_2)$  of configurations such that  $T$  has an instruction that transforms  $c_1$  to  $c_2$ .

The structure  $(Conf(T), E_T)$  is clearly an automatic directed graph since the transitions  $(c_1, c_2) \in E_T$  can be detected by finite automata.

The next example shows the the  $n$ -dimensional grid is also an automatic graph.

**Example 6.3.** Consider  $\mathbb{Z}^n$  as a labeled graph, where the labels are  $e_1, \dots, e_n$ . Identify each  $e_i$  with the vector  $(0, \dots, 0, 1, 0, \dots, 0)$ , whose all components are 0 except at position  $i$ . For any two vectors  $v$  and  $w$  in  $\mathbb{Z}^n$ , put an edge from  $v$  to  $w$  and label it with  $e_i$  if  $v + e_i = w$ . We represent each vector  $v \in \mathbb{Z}^n$  as an  $n$ -tuple  $(x_1, \dots, x_n)$  of integers each written in a binary (or decimal) notation. Under this coding, the edge relation

$$E_i = \{(v, w) \mid v + e_i = w\}$$

is FA recognizable. Hence, the labeled graph  $\mathbb{Z}^n$  is automatic.

The next is a central definition of this paper that introduces automaticity for finitely generated groups.

**Definition 6.4.** Let  $G$  be a group generated by a finite set  $X$  of generators. We say that  $G$  is **Cayley graph automatic** if the graph  $\Gamma(G, X)$  is an automatic graph. We often refer to Cayley graph automatic groups as either **graph automatic groups** or **CGA groups**.

Here are several examples.

**Example 6.5.** Consider a finitely generated abelian group  $G$ . The group  $G$  can be written as  $\mathbb{Z}^n \oplus A$ , where  $A$  is a finite abelian group and  $n \in \mathbb{N}$ . The group  $G$  is generated by  $A$  and the vectors  $e_1, \dots, e_n$  in  $\mathbb{Z}^n$ . Using Example 6.3 and the fact that  $A$  is finite, it is easy to show that the group  $G$  is graph automatic.

**Example 6.6.** The Heisenberg group  $\mathcal{H}_3(\mathbb{Z})$  consists of  $3 \times 3$  matrices  $X$  over  $\mathbb{Z}$  of the following type:

$$X = \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix}.$$

The group has 3 generators which are

$$A = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, B = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \text{ and } C = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}.$$

We can represent the matrix  $X$  as the convoluted word  $\otimes(a, b, c)$ , where  $a$ ,  $b$  and  $c$  are written in binary. The multiplication of  $X$  by each of these generators can easily be recognized by finite automaton. Indeed, the three automata that recognize the multiplication by  $A$ ,  $B$ , and  $C$  accept all the strings of the form  $\otimes(\otimes(a, b, c), \otimes(1+a, b, c))$ ,  $\otimes(\otimes(a, b, c), \otimes(a, 1+b, c))$ , and  $\otimes(\otimes(a, b, c), \otimes(a, b, 1+c))$ , respectively. Thus,  $\mathcal{H}_3(\mathbb{Z})$  is a graph automatic group.

**Example 6.7.** The example above can clearly be generalized to Heisenberg groups  $\mathcal{H}_n(\mathbb{Z})$  consisting of all  $n \times n$  matrices over  $\mathbb{Z}$  which have entries 1 at the diagonal and whose all other entries apart from first row or the last column are equal to 0.

Now we mention some properties of graph automatic groups that follow directly from the definitions. We start with the following easy lemma.

**Lemma 6.8.** *Let  $G$  be a graph automatic group over a generating set  $X$ . Then for a given word  $y \in (X \cup X^{-1})^*$  there exists a finite automaton  $\mathcal{M}_y$  which accepts all the pairs  $u, v \in \Gamma(G, X)$  with  $v = uy$  and nothing else.*

*Proof.* Since  $\Gamma(G, X)$  is automatic, for every  $x \in X$  there exists an automaton  $\mathcal{M}_x$  such that for all  $u, v \in \Gamma(G, X)$ , the automaton  $\mathcal{M}_x$  detects if  $v = u \cdot x$ . Now one can use the automata  $\mathcal{M}_x$ ,  $x \in X$ , to build a finite automaton  $\mathcal{M}_y$  that recognizes all  $u, v \in \Gamma(G, X)$  such that  $v = uy$ . This can be done through Theorem 3.7. Indeed, there exists a formula  $\phi(w, v)$  in the language of the Cayley graph  $\Gamma(G, X)$  with free variables  $u, v$  such that  $\phi(u, v)$  holds in  $\Gamma(G, X)$  if and only if  $v = uy$  in  $G$ . So the binary predicate defined by  $\phi(u, v)$  is FA recognizable in  $\Gamma(G, X)$ . Hence we can build the desired automaton  $\mathcal{M}_y$ .  $\square$

The theorem below shows that the definition of graph automaticity is independent on the generator sets. The proof is much simpler than the proof of the similar results for standard automatic groups [15].

**Theorem 6.9.** *If  $G$  is a graph automatic group with respect to a generating set  $X$  then  $G$  is Cayley graph automatic with respect to all finite generating sets  $Y$  of  $G$ .*

*Proof.* Consider a graph automatic graph  $\Gamma(G, X)$ . Let  $Y$  be any finite generating set for  $G$ . Each  $y \in Y$  can be written as a product  $x_1^{k_1} \cdot \dots \cdot x_n^{k_n}$  of elements of  $X$ . We write this product as  $w(y)$ . By Lemma 6.8 the binary relation  $\{(u, v) \in \Gamma(G, X)^2 \mid v = uy\}$  is FA recognizable in  $\Gamma(G, X)$ . This proves that  $\Gamma(G, Y)$  is an automatic graph. Note that we did not need to change the automatic representation of the vertex set of the graph  $\Gamma(G, X)$  in our proof.  $\square$



## 7. AUTOMATIC VS GRAPH AUTOMATIC

In this section we recall the definition of automaticity first introduced by Thurston, and compare it with our definition of graph automaticity. We recall the definition of Thurston [15]

**Definition 7.1.** A group  $G$  with a finite generator set  $X$  is **automatic** if

- (1) There exists a regular subset  $L \subseteq X^*$  such that the natural mapping  $v \rightarrow \bar{v}$ ,  $v \in L$ , from  $L$  into  $G$  is onto.
- (2) The set  $W_G = \{(u, v) \mid u, v \in L \text{ \& } \bar{u} = \bar{v} \text{ in } G\}$  is regular.
- (3) For each  $x \in X$ , there exists an automaton  $M_x$  that recognizes the relation:

$$\{(u, v) \mid u, v \in L \text{ and } \bar{u} = \overline{vx} \text{ in } G\}.$$

The automaton  $M$  for  $L$ , and automata  $M_x$  are called an **automatic structure** for the group  $G$ .

As mentioned in the introduction, automatic groups are generator set independent, have decidable word problem (in quadratic time), and they are finitely presented. They are also closed under finite free products, finite direct products, and finite extensions.

Examples of automatic groups include free abelian groups  $Z^n$ , hyperbolic groups, e.g. free groups, braid groups, and fundamental groups of many natural manifolds. Examples of non-automatic groups are  $SL_n(\mathbb{Z})$  and  $H_3(\mathbb{Z})$ , the wreath product of  $\mathbb{Z}_2$  with  $\mathbb{Z}$ , non-finitely presented groups, and Baumslag-Solitar groups.

There is one geometric property of automatic groups known as fellow traveller property [15]. It is roughly explained as follows. Let  $M$  and automata  $M_x$ ,  $x \in X$ , be an *automatic structure* for the group  $G$  generated by  $X$ . For any two words  $w_1, w_2$  recognized by  $M$ , if  $M_x$  accepts  $(w_1, w_2)$  then the following property holds. Start traveling at the same speed along the paths  $w_1$  and  $w_2$  in the Cayley graph; At any given time  $t$  during the travel, the distance between  $w_1(t)$  and  $w_2(t)$  is uniformly bounded by a constant  $C$ .

We now recast the definition of graph automaticity through the following lemma whose proof immediately follows from the definitions:

**Lemma 7.2.** *Let  $\Gamma(G, X)$  be the Cayley graph of a group  $G$  generated by a finite set  $X$  viewed as the structure above:*

$$(V, E_{x_1}, \dots, E_{x_n}).$$

*Then  $G$  is graph automatic if and only if the following conditions hold for some finite alphabet  $\Sigma$ :*

- *There is an FA recognizable language  $R \subseteq \Sigma^*$  and an onto mapping  $\nu : R \rightarrow V$  for which the binary predicate  $E(x, y) \subseteq R^2$  defined by  $E(u, v) \leftrightarrow \nu(u) = \nu(v)$  is FA recognizable,*

- All the predicates  $E_{x_1}, \dots, E_{x_n}$  are FA recognizable with respect to the mapping  $\nu$ , that is for each  $x \in X$  the set  $\nu^{-1}(E_x) = \{(u, v) \mid u, v \in R \text{ and } \nu(u)x = \nu(v)\}$  is FA recognizable.

Thus, the definition of graph automaticity differs from the definition Thurston automaticity in only one respect. Namely, it is not required that  $X = \Sigma$ . This immediately implies the following simple result showing that all automatic groups are graph automatic.

**Proposition 7.3.** Every automatic group is graph automatic.  $\square$

However, the converse is not true. For instance, the Heisenberg group  $\mathcal{H}_3(\mathbb{Z})$  is graph automatic (Example 6.6), but not automatic (see [15]). Later we will give more examples of such groups.

## 8. THE WORD AND CONJUGACY PROBLEMS

Recall that the complexity of the word problem in each automatic group is bounded by a quadratic polynomial. The theorem below shows that graph automatic groups enjoy the same property. They behave just like automatic groups in terms of complexity of the word problem.

**Theorem 8.1.** *The word problem in graph automatic groups is decidable in quadratic time.*

*Proof.* Let  $G$  be a group for which the Cayley graph  $\Gamma(G, X)$  is automatic. We prove the following result which is interesting in its own as it can be applied in the general setting:

**Lemma 8.2.** *Let  $f : D^n \rightarrow D$  be a function whose graph is FA recognizable. There exists a linear time algorithm that given  $x_1, \dots, x_n \in D$  computes the value  $f(x_1, \dots, x_n)$ .*

To prove the lemma, let us denote by  $\mathcal{M}$  a finite automaton recognizing the graph of  $f$ . Consider the set  $X$  of all paths (runs) labeled by words of the form  $(x_1, \dots, x_n, y)$ , where  $|y| \leq \max\{|x_i| \mid 1 \leq i \leq n\}$  starting from the initial state  $q_0$ . Let  $S$  be the set of all states obtained by selecting the last states in the paths from  $X$ . The set  $S$  can be computed in time  $C \cdot \max\{|x_1|, \dots, |x_n|, |y|\}$ , where  $C$  is a constant. There are two cases for  $S$ :

*Case 1:* The set  $S$  contains an accepting state  $s$ . Hence there exists a path from the initial state to  $s$  such that the label of the path is of the form  $(x_1, \dots, x_n, y')$  with  $|y'| \leq \max\{|x_i| \mid 1 \leq i \leq n\}$ . One can find such a path in linear time on size of the input  $(x_1, \dots, x_n)$ . The string  $y'$  must be such that  $f(x_1, \dots, x_n) = y'$ .

*Case 2.* The set  $S$  does not contain an accepting state. There must exist a state  $s \in S$  and a path from  $s$  to an accepting state  $s'$  such that the path is labelled by  $(\diamond, \dots, \diamond, y'')$  such that  $|y''| \leq C'$ , where  $C'$  is the number of states in  $M$ . Let  $y'$  be a string of length  $\otimes(x_1, \dots, x_n)$  such that there is

a path from  $q$  to  $s$  labeled by  $(x_1, \dots, x_n, y')$ . Then  $y = y'y''$  is the output of the function  $f$  on input  $(x_1, \dots, x_n)$ . Note that finding  $s'$  and  $y'$  takes a linear time on the size of the input  $(x_1, \dots, x_n)$ . This proves the lemma.

Now we prove the theorem. Let  $w = \sigma_1 \dots \sigma_n$  be a reduced word (that is a word over  $X$  that does not contain sub-words of the form  $xx^{-1}$  with  $x \in X$ ). We would like to find a representation  $u$  of this word in the group  $G$  in the automatic representation of  $\Gamma(G, X)$ . For each  $w_i = \sigma_1 \dots \sigma_i$  we can find a string  $u_i$  representing  $w_i$  such that  $|u_i| \leq C_1 \cdot i$  for some constant  $C_1$ . By the lemma above  $u_i$  can be found in time  $C_2 \cdot i$  for some constant  $C_2$ . Hence, the word  $u = u_n$  representing  $w$  can be found in time  $C_2(1+2+\dots+n) = O(n^2)$ . This proves the theorem.  $\square$

Below we introduce a notion of a *Cayley graph biautomatic group*. Let  $G$  be a group generated by a finite set  $X$ . Let  $\Gamma(G, X)$  be the Cayley graph of  $G$  relative to  $X$ . Consider the *left Cayley graph*  $\Gamma^l(G, X)$ . It is a labelled directed graph with the vertex set  $G$  such that there is a directed edge  $(g, h)$  from  $g$  to  $h$  labelled by  $x$  if and only if  $xg = h$ . The graph  $\Gamma^l(G, X)$  can be viewed as an algebraic structure  $\Gamma^l(G, X) = (G; E_{x_1}^l, \dots, E_{x_n}^l)$ , where a binary predicate  $E_{x_i}^l$  defines the edges with the label  $x_i$  in  $\Gamma^l(G, X)$ .

**Definition 8.3.** A group  $G$  generated by a finite set  $X$  is **Cayley graph biautomatic** if the graphs  $\Gamma(G, X)$  and  $\Gamma^l(G, X)$  are automatic relative to one and the same regular set representing  $G$ . Equivalently,  $G$  is Cayley graph biautomatic if and only if the structure  $(G; E_{\sigma_1}, \dots, E_{\sigma_n}, E_{\sigma_1}^l, \dots, E_{\sigma_n}^l)$  is automatic. Similar as above we often refer to these groups as **graph biautomatic groups**.

Recall that biautomatic groups (in the sense of Thurston) are defined in the following way. Let  $G$  be automatic group with respect to  $X$ . Let  $L \subseteq X^*$  be a part of automatic structure for  $G$ . We say that  $G$  is biautomatic if  $L^{-1}$  is a part of automatic structure for  $G$ .

**Proposition 8.4.** Every Thurston biautomatic group is Cayley graph biautomatic.

*Proof.* Let  $G$  be a Thurston biautomatic group with a finite generating set  $X$ . Suppose  $R \subseteq X^*$  is a regular set such that  $G$  is automatic relative to  $R$  and  $R^{-1}$ . It follows that the Cayley graph  $\Gamma(G, X)$  is automatic, so all the binary relations  $E_{x_i}$  are FA recognizable. We need to show that the relations  $E_{\sigma_i}^l$  are also FA recognizable. Since  $G$  is biautomatic the set of pairs  $(u, v) \in R^2$  such that  $u^{-1}x^{-1} = v^{-1}$  for a given  $x \in X$  is FA recognizable, say by an automaton  $M_{x^{-1}}$ . Observe that  $u^{-1}x^{-1} = v^{-1}$  if and only if  $xu = v$ . Rebuild the automaton  $M_{x^{-1}}$  into an automaton  $M_x^l$  by interchanging the sets of initial and final states in  $M_{x^{-1}}$ , then reversing each edge in  $M_{x^{-1}}$  and changing each label  $x$  into  $x^{-1}$ . Clearly,  $M_{x^{-1}}$  accepts a path with label  $(u^{-1}, v^{-1}) \in R^2$  if and only if  $M_x^l$  accepts a path labelled

$(u, v)$  (in which case  $v = xu$ ). Hence  $M_x^l$  recognizes  $E_x^l$ . This proves the proposition.  $\square$

**Theorem 8.5.** *The Conjugacy Problem in every graph biautomatic group  $G$  is decidable.*

*Proof.* Let  $G$  be a graph biautomatic group generated by a finite set  $X$ . Let  $\Gamma(G, X)$  be a graph biautomatic representation of  $G$ , with the regular set  $R$  representing the domain. Cayley graphs  $\Gamma(G, X)$  and  $\Gamma^l(G, X)$  are automatic. Fix two words  $p$  and  $q$  in  $X^*$ . By Lemma 6.8, applied to the automatic graphs  $\Gamma(G, X)$  and  $\Gamma^l(G, X)$ , one has that the sets of pairs  $\{(u, up) \mid u \in R\}$  and  $\{(u, qu) \mid u \in R\}$  are FA recognizable. Hence, the set

$$S_{p,q} = \{u \in R \mid up = qu \text{ in } G\}$$

is FA recognizable. Indeed, the formula

$$\Phi(u) = \exists z((up = z) \wedge (qu = z))$$

defines the set  $S_{p,q}$  in the automatic structure  $(G; E_{\sigma_1}, \dots, E_{\sigma_n}, E_{\sigma_1}^l, \dots, E_{\sigma_n}^l)$ . It follows, that  $p$  and  $q$  are conjugate in  $G$  if and only if  $S_{p,q} \neq \emptyset$ , which is decidable.  $\square$

Just as for automatic groups we do not, however, know if the Conjugacy Problem for graph automatic groups is decidable.

## 9. UNIVERSAL CAYLEY GRAPHS

In this section we prove that the Cayley graph of a free group with two natural extra predicates is universal. Recall that an automatic structure  $\mathcal{A}$  is **universal** if every other automatic structure  $\mathcal{B}$  can be interpreted in  $\mathcal{A}$  as defined in Definition 3.6.

Let  $F$  be a free group with basis  $A = \{a_1, \dots, a_n\}$ . We represent  $F$  by the set  $F(A)$  of all reduced words in  $A \cup A^{-1}$ . Recall that a word is reduced if it contains no subword of the form  $aa^{-1}$ ,  $a \in A$ . On the set  $F(A)$  define the following two predicates  $\preceq$  and  $el$ :

$$x \preceq y \leftrightarrow x \text{ is a prefix of } y, \text{ and}$$

$$el(x, y) \leftrightarrow |x| = |y|.$$

Denote by  $\Gamma_{free}(A)$  the Cayley graph  $\Gamma(F, A)$  with two extra predicates  $\preceq$  and  $el$ , i.e.,

$$\Gamma_{free}(A) = (F(A); E_{a_1}, \dots, E_{a_n}, \preceq, el).$$

Now we prove the following theorem:

**Theorem 9.1.** *The automatic structure  $\Gamma_{free}(A)$  is universal.*

*Proof.* It is easy to see that  $\Gamma_{free}$  is an automatic structure. Indeed, the set  $F(A)$  and all the predicates defined are clearly FA recognizable.

Consider the structure

$$\mathcal{M} = (A^*; R_a(x, y), x \preceq y, el(x, y))_{a \in \Sigma},$$

defined in Example 3.9. By Theorem 3.10  $\mathcal{M}$  is a universal automatic structure. Since interpretability is a transitive relation, it suffices to interpret the structure  $\mathcal{M}$  in the expanded free group  $\Gamma_{free}(A)$  by first-order formulas. Notice, that the set  $A^*$  is a subset of  $F(A)$ , consisting of all words without "negative" letters  $a^{-1}$  when  $a \in A$ . Furthermore, all the predicates in  $\mathcal{M}$  are restrictions of the corresponding predicates from  $\Gamma_{free}(A)$  onto  $A^*$ . Hence, it suffices to show that the subset  $A^*$  is definable in  $\Gamma_{free}(A)$ . Observe, first, that the formula

$$\Phi_{<}(u, v) = \exists z(z \preceq v \wedge z \neq v \wedge |z| = |u|)$$

defines the binary relation  $|u| < |v|$  in  $\Gamma_{free}(A)$ . Now it is easy to see that the formula

$$\Phi(w) = \forall u \forall v (u \preceq w \wedge (\bigvee_{a \in A} R_a(u, v)) \rightarrow |u| < |v|)$$

defines  $A^*$  in  $\Gamma_{free}(A)$ . This proves the theorem.  $\square$

## 10. CAYLEY GRAPH AUTOMATIC GROUPS: CONSTRUCTIONS

Our goal is to show that graph automaticity is preserved under several natural group-theoretic constructions.

**10.1. Finite extensions.** Let  $G$  be a group and  $H$  be a normal subgroup  $G$ . We say that  $G$  is a *finite extension of  $H$*  if the quotient group  $G/H$  is finite. It turns out that graph automaticity preserves finite extensions:

**Theorem 10.1.** *Finite extensions of graph automatic groups are again graph automatic.*

*Proof.* Let  $H$  be a graph automatic group. Let  $H$  be a normal subgroup of a group  $G$  such that  $G/H$  is finite. Let

$$G/H = \{Hk_0, \dots, Hk_{r-1}\}$$

be all right co-sets of  $G$  with respect to  $H$ . There exists a finite function  $g$  such that for all  $i, s \leq r-1$ , we have an equality:

$$(1) \quad Hk_i \cdot Hk_s = Hk_{g(i,s)}.$$

Let  $h_0, \dots, h_{n-1}$  be a finite number of generators of  $H$  that also include the identity of the group. The equality (1) above implies that there are sequences  $g_1(i, s), \dots, g_x(i, s)$  and  $u_1(i, s), \dots, u_x(i, s)$  of integers such that we have

$$k_i k_s = h_{u_1(i,s)}^{g_1(i,s)} \dots h_{u_x(i,s)}^{g_x(i,s)} k_{g(i,s)},$$

where  $i, s \leq r-1$  and all  $u_1(i, s), \dots, u_x(i, s)$  are non-negative integers all less than or equal to  $n-1$ . Similarly, there are sequences  $f_1(i, j), \dots, f_m(i, j)$  and  $v_1(i, j), \dots, v_m(i, j)$  of integers such that for all  $i \leq r-1$  and  $j \leq n-1$  we have the following equalities:

$$k_i h_j = h_{v_1(i,j)}^{f_1(i,j)} \dots h_{v_m(i,j)}^{f_m(i,j)} k_i.$$

This implies that for all  $s, i \leq r-1$ ,  $j \leq n-1$ , and  $h \in H$  we have the following equalities:

$$hk_i h_j k_s = h h_{v_1(i,j)}^{f_1(i,j)} \dots h_{v_m(i,j)}^{f_m(i,j)} k_i k_s = h h_{v_1(i,j)}^{f_1(i,j)} \dots h_{v_m(i,j)}^{f_m(i,j)} h_{u_1(i,s)}^{g_1(i,s)} \dots h_{u_x(i,s)}^{g_x(i,s)} k_{g(i,s)}.$$

Let  $\bar{h}$  be the word representing the element  $h \in H$  under a graph automatic presentation of  $H$ . We represent elements  $hk$  of the group  $G$  as words  $\bar{h}k$ . Here we need to assume that the alphabet of the presentation for  $H$  does not contain symbols  $k_0, \dots, k_{r-1}$ . The equalities above tell us that there are finite automata  $M_{i,j}$  that for every  $k_i, h_j$  accept all pairs of words of the form  $(\bar{h}k, w)$  such that the equality  $w = h k k_i h_j$  is true in the group  $G$ . Note that to build the automata  $M_{i,j}$  one needs to use: the original automata that represent the group  $H$ , the sequences  $g_1(i, s), \dots, g_x(i, s)$  and  $u_1(i, s), \dots, u_x(i, s)$ , the sequences  $f_1(i, j), \dots, f_m(i, j)$  and  $v_1(i, j), \dots, v_m(i, j)$ , the function  $g$ , and the automata representing the multiplication by elements  $h_v^{f(i,j)}$  and  $h_u^{g(i,j)}$  in the group  $H$ . This shows that the group  $G$  is graph automatic. The theorem is proved.  $\square$

A simple corollary of the proof is the following:

**Corollary 10.2.** Finite extensions of graph biautomatic groups are again graph biautomatic.

**10.2. Semidirect products.** Let  $A$  and  $B$  be finitely generated groups and  $\tau : B \rightarrow \text{Aut}(A)$  an injective homomorphism. As usual the *semidirect product* of  $A$  and  $B$  relative to  $\tau$ , denoted  $A \rtimes_\tau B$ , is a group  $G$  generated by  $A$  and  $B$  such that  $A$  is normal in  $G$ . In the semidirect product, every element  $g \in G$  is uniquely presented as a product  $g = ba$ , where  $a \in A, b \in B$ . The multiplication in  $G$  is given by  $(ba)(b_1 a_1) = b b_1 a^{b_1} a_1$ , where  $a^{b_1} = \tau(b_1)(a)$ .

Recall that an automorphism  $\alpha \in \text{Aut}(A)$  is automatic if its graph is an FA recognizable language.

**Theorem 10.3.** *Let  $A$  and  $B$  be graph automatic groups with finite sets of generators  $X$  and  $Y$ , and  $\tau : B \rightarrow \text{Aut}(A)$  an injective homomorphism. Assume that the automorphism  $\tau(y)$  is automatic for every  $y \in Y$ . Then the semidirect product  $G = A \rtimes_\tau B$  is graph automatic.*

*Proof.* Let  $R$  and  $S$  be regular sets that give graph automatic representations of  $A$  and  $B$ . The generators of the semidirect product are of the form  $(e_B, x)$  and  $(y, e_A)$ , where  $x \in X, y \in Y$ , and  $e_A, e_B$  are units of  $A$  and  $B$  respectively. For any  $x \in X$  the relation  $(sr)(e_B, x) = s_1 r_1$  is obviously FA recognizable. Similarly, for each  $y \in Y$ , we have  $(sr)(y, e_A) = s y r^y$  and this relation is also FA recognizable, since the graph  $\{(r, r^y) \mid r \in R\}$  is FA recognizable. This is because  $\tau(y)$  is automatic. This proves the theorem.  $\square$

An immediate corollary of the theorem is this:

**Corollary 10.4.** Direct product of two graph automatic groups is graph automatic.  $\square$

Consider the group  $G = (\mathbb{Z} \times \mathbb{Z}) \rtimes_A \mathbb{Z}$ , where  $A \in SL(2, \mathbb{Z})$ . Here we mean that the action of a generator, say  $t$ , of  $\mathbb{Z}$  on  $\mathbb{Z} \times \mathbb{Z}$  is given by the matrix  $A$ . Such groups play an important part as lattices in the Lie group  $Sol = (\mathbb{R} \times \mathbb{R}) \rtimes \mathbb{R}$ , where  $t$  acts on  $\mathbb{R} \times \mathbb{R}$  by a diagonal matrix  $diag(e^t, e^{-t})$ . These groups are also interesting in our context because of the following observation. If  $A$  is conjugate in  $GL(2, \mathbb{R})$  to a matrix  $diag(\lambda, \lambda^{-1})$  for some  $\lambda > 1$ , then  $G$  has exponential Dehn function, hence  $G$  is not an automatic group [15]. The theorem above can be applied to prove the next result.

**Proposition 10.5.** The group  $G = (\mathbb{Z} \times \mathbb{Z}) \rtimes_A \mathbb{Z}$  is graph automatic for every  $A \in SL(2, \mathbb{Z})$ .

*Proof.* We first note that every matrix  $A \in SL(2, \mathbb{Z})$  gives rise to an FA recognizable automorphism of  $\mathbb{Z} \times \mathbb{Z}$ . Since the underlying groups  $\mathbb{Z}$ ,  $\mathbb{Z} \times \mathbb{Z}$  are graph automatic, by the theorem above the group  $G$  is graph automatic.

Alternatively, graph automaticity of  $G$  can also be shown via Theorem 3.7. Indeed, the Cayley graph  $\Gamma$  of  $G$  is first-order interpretable in  $(\mathbb{Z}; +)$ , which is automatic. To see this, represent elements of  $G$  as triples  $(x, y, t) \in \mathbb{Z}^3$ . This set is FA recognizable. Now observe that multiplication in  $G$  is given by

$$(x_1, y_1, t_1)(x_2, y_2, t_2) = ((x_1, y_1) + A(x_2, y_2)^T, t_1 + t_2).$$

Therefore multiplication of  $(x_1, y_1, t_1)$  by a fixed generator of  $G$  is definable in  $(\mathbb{Z}; +)$  as claimed.  $\square$

**10.3. Wreath products.** For the next theorem we define the *restricted wreath product* of a group  $A$  by a group  $B$ . Let  $A_b$  be an isomorphic copy of  $A$  for each  $b \in B$ . Consider the direct sum of groups  $A_b$  denoted by  $K$ . Thus,

$$K = \bigoplus_{b \in B} A_b,$$

where elements of  $K$  are functions  $f : B \rightarrow A$  such that  $f(b) = 1_A$  for almost all  $b \in B$ . We write elements of  $K$  as  $(a_b)$ . Each element  $c \in B$  induces an automorphism  $\alpha_c$  of  $K$  as follows:

$$\alpha_c(a_b) = (a_{bc}).$$

The wreath product of  $A$  by  $B$  consists of all pairs of the form  $(b, k)$ , where  $b \in B$  and  $k \in K$ , with multiplication defined by:

$$(b, k) \cdot (b_1, k_1) = (bb_1, \alpha_{b_1}(k)k_1).$$

Thus, the wreath product of  $A$  by  $B$  is simply the semidirect product of  $K = \bigoplus_{b \in B} A_b$  and  $B$  relative to the mapping  $B \rightarrow Aut(K)$  given by  $c \rightarrow \alpha_c$ .

**Theorem 10.6.** For every finite group  $G$  the wreath product of  $G$  by  $\mathbb{Z}$  is graph automatic.



*Proof.* This follows directly from Theorem 10.3. However, we give an explicit automatic presentation of the wreath product. The elements of the wreath product are of the form

$$(i, (\dots, g_{-n}, g_{-n+1}, \dots, g_{-1}, g_0, g_1, \dots, g_{m-1}, g_m, \dots)),$$

where  $g_j \in G$  and  $i \in Z$ . We refer to  $g_0$  as the element of  $G$  at position 0. We can assume that  $g_k$  is the identity  $1_G$  of the group  $G$  for all  $k < -n$  or  $k > m$ , and  $g_{-n} \neq 1_G$  and  $g_m \neq 1_G$ . We can represent the element above as the following string

$$\otimes(i, g_{-n} \dots g_{-1}(g_0, \star)g_1 \dots g_m),$$

where  $i$  is written in binary. The alphabet of these strings is clearly finite since  $G$  is a finite group. The symbol  $\star$  in this string represents elements of  $G$  at position 0. The generators of the wreath product are elements  $(0, g)$  and  $(1, g)$  represented by the strings  $\oplus(0, (g, \star))$  and  $\oplus(1, (1_G, \star))$ , where  $g \in G$ . Multiplication by these generators works as follows:

$$\otimes(i, g_{-n} \dots (g_0, \star) \dots g_m) \cdot \oplus(0, (g, \star)) = \otimes(i, g_{-n} \dots (g_0 \cdot g, \star) \dots g_m)$$

and

$$\otimes(i, g_{-n} \dots (g_0, \star) \dots g_m) \cdot \oplus(1, (1_G, \star)) = \otimes(i+1, g'_{-n+1} \dots (g'_0, \star) \dots g'_{m+1}),$$

where  $g'_{j+1} = g_j$  for  $j \in \{-n, \dots, m\}$ . These operations can clearly be performed by finite automata. The theorem is proved.  $\square$

The theorem above can be applied to construct many examples of graph automatic groups that are not finitely presented. Hence, these give us another class of graph automatic but not automatic groups.

**Corollary 10.7.** There exist graph automatic but not finitely presented (and hence not automatic) groups.

*Proof.* The restricted wreath product of a non-trivial finite group  $G$  by  $\mathbb{Z}$ , by Theorem 10.6, is graph automatic. Now we use the following theorem by Baumslag [5]. For finitely presented groups  $A$  and  $B$ , the restricted wreath product of  $A$  by  $B$  is finitely presented if and only if either  $A$  is trivial or  $B$  is finite. Hence, for nontrivial finite group  $G$ , the restricted wreath product of  $G$  by  $Z$  is not finitely presented but graph automatic.  $\square$

**10.4. Free products.** In this section we prove that graph automaticity is preserved with respect to free products. The result follows from representation of elements of the free product by their normal forms.

**Theorem 10.8.** If  $A$  and  $B$  are graph automatic groups then their free product  $A \star B$  is again graph automatic.

*Proof.* Since  $A$  and  $B$  are graph automatic we can assume that the elements of  $A$  and  $B$  are strings over disjoint alphabets  $\Sigma_1$  and  $\Sigma_2$ . Therefore,  $A \cap B = \{\lambda\}$ . A *normal form* is a sequence of the type

$$g = g_1 \square g_2 \square \dots \square g_n,$$

where  $g_i \in A \cup B$ ,  $g_i \neq \lambda$ ,  $\square \notin \Sigma_1 \cup \Sigma_2$ , and the adjacent elements  $g_i$  and  $g_{i+1}$  are not from the same group  $A$  or  $B$ , where  $i \geq 0$ . The set  $N$  of all normal forms is FA recognizable. Every element in  $A \star B$  is uniquely represented by some normal form  $g$  in  $N$  and every normal form  $g \in N$  gives rise to a unique element in  $A \star B$ . If  $a_1, \dots, a_n$  generate  $A$  and  $b_1, \dots, b_m$  generate  $B$  then these elements together generate the whole group  $A \star B$ . The multiplication by each of these generators can be performed by finite automata using the automata given for the underlying groups  $A$  and  $B$ . For instance, the automaton  $M$  that multiplies  $g \in N$  by a generator  $a \in A$  can be described as follows. Given  $g, g' \in N$  the automaton  $M$  reads  $\otimes(g, g')$ . The aim is to detect if  $ga = g'$  in  $G$ . Assume that

$$g' = g'_1 \square g'_2 \square \dots \square g'_n.$$

Notice that if  $g_n \in B$  then  $g'$  must be of the form:

$$g' = g_1 \square g_2 \square \dots \square g_n \square a.$$

And if  $g_n \in A$  then  $g'_n$  must be of the form:

$$g' = g_1 \square g_2 \square \dots \square g_{n-1} \square g'_n,$$

where  $g_n a = g'_n$  in the group  $A$ . The last equality can be detected by a finite automaton using the automaton that recognizes the multiplication by  $a$  in the group  $A$ .  $\square$

**10.5. Amalgamated products.** Let  $A$  and  $B$  be groups. Let  $\phi$  be an isomorphism from a subgroup  $H_A$  of  $A$  into the subgroup  $H_B$  of  $B$ . By  $H$  we denote the isomorphism type of the group  $H_A$ . The *amalgamated product* of  $A$  and  $B$  by  $H$ , denoted by  $A \star_H B$ , is the factor group of  $A \star B$  by the normal closure of the set  $\{\phi(h)h^{-1} \mid h \in H_A\}$ . The amalgamated product  $A \star_H B$  is viewed as the result of identifying  $H_A$  and  $H_B$  in the free product  $A \star B$ . Below we show simple conditions guaranteeing graph automaticity of amalgamated products.

**Theorem 10.9.** *Let  $A, B$  be graph automatic groups and  $H$  a subgroup of  $A$  and  $B$ . Suppose that one of the following conditions holds:*

- (1) *The group  $H$  is graph biautomatic and  $A$  and  $B$  are finite extensions of  $H$ , or*
- (2)  *$H$  is a finite subgroup of both  $A$  and  $B$ .*

*Then the amalgamated product  $A \star_H B$  is graph automatic.*

*Proof.* Assume that  $H$  is a graph biautomatic group, and  $A$  and  $B$  are finite extensions of  $H$ . By Corollary 10.2, both  $A$  and  $B$  can be assumed to be graph biautomatic groups. Moreover, we can assume that the set of elements of the subgroup  $H$  is a regular language.

As in the proof of Theorem 10.8, we consider normal forms. Note that elements of  $A$  and  $B$  are strings (under given automatic presentations) since  $A$  and  $B$  are graph automatic. We also assume that the alphabets  $\Sigma_1$  and  $\Sigma_2$  of graph automatic representations of  $A$  and  $B$  are disjoint.

We choose the set of representatives  $R_A$  and  $R_B$  of the cosets of  $H$  in  $A$  and in  $B$ , respectively. These two sets are finite by the assumption. Let  $a_1, \dots, a_m$  and  $b_1, \dots, b_s$  be the strings from  $R_A$  and  $R_B$ , respectively. Note that for every  $g \in \{a_1, \dots, a_m, b_1, \dots, b_s\}$ , by Lemma 6.8 and graph biautomaticity of  $A$  and  $B$ , the sets

$$\{(u, v) \mid u, v \in A \text{ \& } ug = v\} \text{ and } \{(u, v) \mid u, v \in A \text{ \& } gu = v\}$$

are FA recognizable sets. Let  $\square$  be a symbol not in  $\Sigma_1 \cup \Sigma_2$ . Define an **A-normal form** as a sequence

$$g_1 \square g_2 \square \dots \square g_n \square z,$$

such that

- (1) each  $g_i$  belongs to either  $R_A$  or  $R_B$ ,  $g_i \neq \lambda$ ,
- (2) two consecutive  $g_i$  and  $g_{i+1}$  belong to distinct set of representatives, and
- (3)  $z \in H_A$ .

It is not hard to see that the set of all  $A$ -normal forms is a regular language that we denote by  $N$ . This set determines elements of the amalgamated group  $A \star_H B$ . Moreover, each element in the amalgamated group has a unique representation in  $A$ -normal form [7].

Let  $X$  and  $Y$  be finite set of generators for  $A$  and  $B$ , respectively. Our goal is now to show that the multiplication of elements in  $N$  by each of the generator elements from  $X \cup Y$  is FA recognizable. We first consider the case when the generator is in  $X$ . Take  $v \in N$  of the form  $g_1 \square g_2 \square \dots \square g_n \square z$ , and a generator  $x \in X$ .

Assume that  $g_n \in R_B$ . Using the fact that  $A$  is automatic, we can compute (the string representing) the element  $z \cdot x$ . Let  $w$  be the element  $z \cdot x$ . We now find an element  $a \in R_A$  such that  $w \in aH$ . Hence, we can write the element  $w$  as  $a \cdot h$  for some  $h \in H$ . Namely,  $w = a(a^{-1}w)$ . Thus, we have the equality:

$$vx = g_1 \square g_2 \square \dots \square g_n \square a \square a^{-1}w.$$

From the above, since the underlying groups are biautomatic, we see that this is a FA recognizable event, that is the set

$$\{(v, v') \mid v \text{ is of the form } g_1 \square g_2 \square \dots \square g_n \square z, v' \in N, g_n \in B, x \in X, v' = vx\}$$

is FA recognizable.

Assume now that  $g_n \in R_A$ . Since  $A$  is biautomatic the set

$$\{(g_n, w) \mid w = g_n \cdot z \cdot x, g_n \in R_A, z \in H, x \in X\}$$

is FA recognizable. Now given  $w = g_n \cdot z \cdot x$ , we can represent it as the product  $ah$  for some  $a \in R_A$  and  $h \in H$ . This is again a FA recognizable event. We conclude that the set multiplication by  $x \in X$  of elements in  $N$  can be recognized by finite automata. The case when we multiply elements of  $N$  by the generators  $y \in Y$  is treated similarly.

Now we prove the second part of the theorem. Since  $H$  is finite the set of all left co-sets with respect to  $H$  in both  $A$  and  $B$  is uniformly FA recognizable. In other words, the sets

$$\{(a_1, a_2) \mid a_1^{-1}a_2 \in H, a_1, a_2 \in A\} \text{ and } \{(b_1, b_2) \mid b_1^{-1}b_2 \in H, b_1, b_2 \in B\}$$

are FA recognizable languages. Therefore we can select regular sets  $R_A$  and  $R_B$  of left-cost representatives of  $A$  and  $B$ , respectively. As above, one considers the set  $N$  of normal forms. For each  $z \in H$  and  $g \in X \cup Y$ , for each of the groups  $A$  and  $B$  there exists a finite automaton that recognizes the language  $\{(u, v) \mid uzg = v\}$ . Therefore, since  $H$  is finite, we have that for each  $g \in X \cup Y$  the set

$$\{(v, w) \mid v, w \in N, vg = w\}$$

is FA recognizable. This proves the second part of the theorem.  $\square$

As an application we give the following result.

**Corollary 10.10.** The groups  $SL_2(\mathbb{Z})$  and  $GL_2(Z)$  are graph automatic.

*Proof.* The group  $SL_2(\mathbb{Z})$  is isomorphic to  $\mathbb{Z}_4 \star_{\mathbb{Z}_2} \mathbb{Z}_6$ . Similarly, the group  $GL_2(\mathbb{Z})$  is isomorphic to  $D_4 \star_{D_2} D_6$ , where  $D_n$  is a Dihedral group (see for instance [7]). By the theorem above the groups  $SL_2(\mathbb{Z})$  and  $GL_2(Z)$  both are graph automatic.  $\square$

Of course, the groups  $SL_2(\mathbb{Z})$  and  $GL_2(Z)$  are already known to be automatic (see [15]).

## 11. SUBGROUPS

In this section we describe a simple technique that is analogues, in some respect, to the technique of quasi-convex subgroups in Thurston automatic groups. Let  $G$  be a graph automatic group. Assume that  $R$  is a regular language representing the group  $G$  via a bijection  $\nu : R \rightarrow G$ . A finitely generated subgroup  $H \leq G$  is called *regular* if the pre-image  $\nu^{-1}$  is a regular subset of  $R$ . A similar definition describe regular subgroups of graph automatic monoids.

**Proposition 11.1.** Let  $G$  be a graph automatic group or monoid and  $H$  a regular finitely generated subgroup of  $G$ . Then  $H$  is graph automatic.

*Proof.* Suppose that  $R$  is a regular language representing the group  $G$  via a bijection  $\nu : R \rightarrow G$ . Let  $X_H$  be a finite generating set of  $H$ . Let  $X_G$  be a finite generating set of  $G$  containing  $X_H$ . Since  $G$  is graph automatic it is automatic relative to  $X_H$ . Hence the binary predicates  $E_x$  are FA presentable in  $R$ . Their restrictions to  $\nu^{-1}(H)$  are FA presentable as well. Thus,  $H$  is graph automatic.  $\square$

The following result, implied by the proposition above, turns out to be useful in applications.

**Corollary 11.2.** Let  $M_n(\mathbb{Z})$  be the multiplicative monoid of all  $n \times n$  integer matrices. If  $H$  is a regular finitely generated subgroup of  $M_n(\mathbb{Z})$  then  $H$  is graph automatic.

*Proof.* It suffices to note that multiplication operation of matrices in  $M_n(\mathbb{Z})$  by a fixed  $n \times n$ -matrix is an automatic operation.  $\square$

## 12. NILPOTENT CAYLEY GRAPH AUTOMATIC GROUPS

In this section we show that there are many interesting finitely generated nilpotent groups which are graph automatic. For this we need to introduce a particular technique of polycyclic presentations that initially comes from Malcev's work on nilpotent groups [34]. For the detailed exposition see the books [24, 23, 4].

Let  $G$  be a group,  $a = (a_1, \dots, a_n)$  an  $n$ -tuple of elements in  $G$ , and  $\alpha = (\alpha_1, \dots, \alpha_n)$  an  $n$ -tuple of integers. By  $a^\alpha$  we denote the following product

$$a^\alpha = a_1^{\alpha_1} a_2^{\alpha_2} \dots a_n^{\alpha_n}.$$

Concatenation of two tuples  $a$  and  $b$  is denoted  $ab$  and a 1-tuple  $(x)$  is usually denoted by  $x$ .

Recall that every finitely generated abelian group  $A$  is a direct sum of cyclic groups:

$$A = \langle a_1 \rangle \times \dots \times \langle a_s \rangle \times \langle b_1 \rangle \times \dots \times \langle b_t \rangle$$

where  $\langle a_i \rangle$  is an infinite cyclic, and  $\langle b_i \rangle$  is a finite cyclic of order  $\omega(b_i)$ . Every element  $g \in A$  can uniquely be represented in the form

$$(2) \quad g = a_1^{\alpha_1} \dots a_s^{\alpha_s} b_1^{\beta_1} \dots b_t^{\beta_t}$$

where  $\alpha_i \in \mathbb{Z}$  and  $\beta_j \in \{0, 1, \dots, \omega(b_j) - 1\}$ . We call the tuple

$$a = (a_1, \dots, a_s, b_1, \dots, b_t)$$

a *base* of  $A$  and the tuple  $\sigma(g) = (\alpha_1, \dots, \alpha_s, \beta_1, \dots, \beta_t)$  the *coordinate* of  $g$  in the base  $\bar{a}$ . In this notation we write the equality (2) as follows  $g = a^{\sigma(g)}$ .

One can generalize the notion of base to polycyclic groups. Recall that a group  $G$  is **polycyclic** if there is a sequence of elements  $a_1, \dots, a_n \in G$  that generates  $G$  such that if  $G_i$  denotes the subgroup  $\langle a_i, \dots, a_n \rangle$  then  $G_{i+1}$  is normal in  $G_i$  for every  $i$ . In this case

$$(3) \quad G = G_1 \geq G_2 \geq \dots \geq G_n \geq G_{n+1} = 1$$

is termed a **polycyclic series** of  $G$ . The sequence  $a = \langle a_1, \dots, a_n \rangle$  is called a *base* of  $G$ .

Let  $a = (a_1, \dots, a_n)$  be a base of a polycyclic group  $G$ . Then the quotient  $G_i/G_{i+1}$  is a cyclic group generated by the coset  $a_i G_{i+1}$ . Denote by  $\omega_i$  the order of the group  $G_i/G_{i+1}$  which is the order of the element  $a_i G_{i+1}$  in  $G_i/G_{i+1}$ . Here  $\omega_i = \infty$  if the order is infinite. We refer to the tuple  $\omega(a) = (\omega_1, \dots, \omega_n)$  as the **order** of  $a$ . Now set  $Z_{\omega_i} = \mathbb{Z}$  if  $\omega_i = \infty$  and  $Z_{\omega_i} = \{0, 1, 2, \dots, \omega_i - 1\}$  otherwise.

**Lemma 12.1.** *Let  $a = (a_1, \dots, a_n)$  be a base of a polycyclic group  $G$  of order  $\omega(a) = (\omega_1, \dots, \omega_n)$ . Then for every  $g \in G$  there is a unique decomposition of the following form:*

$$(4) \quad g = a_1^{\alpha_1} a_2^{\alpha_2} \dots a_n^{\alpha_n}, \quad \alpha_i \in Z_{\omega_i}.$$

*Proof.* Let  $g \in G$ . Since the quotient group  $G_1/G_2$  is cyclic generated by  $a_1G_2$  one has  $gG_2 = a_1^{\alpha_1}G_2$  for some unique  $\alpha_1 \in Z_{\omega_1}$ . The element  $g' = a_1^{-\alpha_1}g$  belongs to  $G_2$ . Notice that  $(a_2, \dots, a_n)$  is a base of  $G_2$ . Hence by induction on the length of the base there is a unique decomposition of  $g'$  of the type

$$g' = a_2^{\alpha_2} \dots a_n^{\alpha_n}, \quad \alpha_i \in Z_{\omega_i}.$$

Now  $g = a_1^{\alpha_1}g'$  and the result follows.  $\square$

In the notation above for an element  $g \in G$  the tuple  $\sigma(g) = (\alpha_1, \dots, \alpha_n)$  from (4) is called the tuple of **coordinates** of  $g$  in the base  $a$ . Sometimes we write the equality (4) as  $g = a^{\sigma(g)}$ .

Finitely generated nilpotent groups are polycyclic so they have finite bases as above. Moreover, it is easy to see that an arbitrary finitely generated group  $G$  is nilpotent if and only if it has a finite base  $(a_1, \dots, a_n)$  such that the series (3) is central, i.e.,  $[G_i, G] \leq G_{i+1}$  for every  $i = 1, \dots, n$  (here  $G_{n+1} = 1$ ).

Now suppose  $G$  is an arbitrary finitely generated nilpotent group of nilpotency class  $m$ . The **lower central series** of  $G$  is defined inductively by

$$G_1 = G, G_2 = [G_1, G], \dots, G_{i+1} = [G_i, G], \dots$$

By assumption, we have  $G_m \neq 1$  and  $G_{m+1} = 1$ . It follows that all the quotients  $G_i/G_{i+1}$  are finitely generated abelian groups. Let  $d_i$  be a tuple of elements from  $G_i$  such that its image in  $G_i/G_{i+1}$  under the standard epimorphism is a base of the abelian group  $G_i/G_{i+1}$ . Then the tuple  $a = d_1 d_2 \dots d_m$  obtained by concatenation from the tuples  $d_1, \dots, d_m$ , is a base of  $G$ . We refer to  $a$  as a **lower central series base** of  $G$ .

Similarly, the **upper central series** of the group  $G$  is the sequence:

$$1 = Z_0(G) \trianglelefteq Z_1(G) \trianglelefteq Z_2(G) \trianglelefteq \dots \trianglelefteq Z_{i+1}(G) \trianglelefteq \dots,$$

where  $Z_{i+1}(G)$  is defined inductively as the set

$$Z_{i+1}(G) = \{x \in G \mid \forall y \in G([x, y] \in Z_i(G))\}.$$

In particular  $Z_1(G)$  is the center of  $G$ . Thus, the group  $Z_{i+1}(G)$  is the full preimage of the center of the group  $G/Z_i(G)$  under the canonical epimorphism  $G \rightarrow G/Z_i(G)$ . If  $G$  is torsion-free then the quotients  $Z_{i+1}(G)/Z_i(G)$  are free abelian groups of finite rank. In particular, one can choose a tuple  $d_i$  of elements from  $Z_{i+1}(G)$  which form a standard basis of the free abelian group  $Z_{i+1}(G)/Z_i(G)$ , where  $i = 1, \dots, m$ . The tuple  $a = d_m d_{m-1} \dots d_1$  obtained as concatenation of  $d_m, \dots, d_1$  is called an **upper central base** of  $G$ . Notice that in this case  $\omega_i = \infty$  for each  $i = 1, \dots, n$ . Such bases are called *Malcev's bases* of  $G$ .

Now we give the following important definition that singles out special type of polynomials needed to perform the multiplication operation in finitely generated nilpotent groups of nilpotency class 2.

**Definition 12.2.** We say that  $p(x_1, \dots, x_n, y_1, \dots, y_n)$  is a **special quadratic** polynomial in variables  $x_1, \dots, x_n$  and  $y_1, \dots, y_n$  if

$$p(x_1, \dots, x_n, y_1, \dots, y_n) = \sum_{i,j} \alpha_{ij} x_i y_j + \sum_i \beta_i x_i + \sum_j \gamma_j y_j,$$

where  $\alpha_{i,j}, \beta_i, \gamma_j$  are constants from  $\mathbb{Z}$ .

In a tuple notation we write  $p(x, y)$ , where  $x = (x_1, \dots, x_n)$  and  $y = (y_1, \dots, y_n)$ . If  $\alpha$  and  $\beta$  are tuples of integers, then  $f(\alpha, \beta)$  denotes the value of  $f$  obtained by substituting  $x \rightarrow \alpha, y \rightarrow \beta$ . Similarly, for a tuple of polynomials  $f(x, y) = (f_1(x, y), \dots, f_k(x, y))$ , we write  $f(\alpha, \beta)$  to denote  $(f_1(\alpha, \beta), \dots, f_k(\alpha, \beta))$ . The following lemma indicates the use of special quadratic polynomials in calculating the group operation in a finitely generated group of nilpotency class at most 2.

**Lemma 12.3.** *Let  $G$  be a finitely generated 2-nilpotent group with a lower series base  $a = (a_1, \dots, a_n)$ . There exist a tuple of special polynomials  $f(x, y) = (f_1(x, y), \dots, f_n(x, y))$  with  $x = (x_1, \dots, x_n)$  and  $y = (y_1, \dots, y_n)$  such that for any tuples of integers  $\alpha, \beta \in \mathbb{Z}^n$  one has*

$$a^\alpha \cdot a^\beta = a^{f(\alpha, \beta)}.$$

*Proof.* Since  $G$  is a 2-nilpotent group then  $G > [G, G] > 1$  is the lower central series of  $G$ . In particular,  $[G, G]$  is a subgroup of the center  $Z(G)$  of  $G$ . Let  $\omega(a)$  be the order of the lower series base  $a = (a_1, \dots, a_n)$ . By definition of the base  $a$ , we have that  $a$  is a concatenation of two tuples  $d_1 = (a_1, \dots, a_s)$  and  $d_2 = (a_{s+1}, \dots, a_n)$  such that  $d_2$  is a base of the abelian group  $[G, G]$ . For tuples  $\alpha = (\alpha_1, \dots, \alpha_n), \beta = (\beta_1, \dots, \beta_n) \in \mathbb{Z}^n$  consider the following product

$$(5) \quad a_1^{\alpha_1} a_2^{\alpha_2} \dots a_n^{\alpha_n} \cdot a_1^{\beta_1} a_2^{\beta_2} \dots a_n^{\beta_n}$$

Since  $G$  is 2-nilpotent all the commutators  $[a_i^{\alpha_i}, a_1^{\beta_1}]$  are in the center of  $G$ , so using equalities  $a_i^{\alpha_i} a_1^{\beta_1} = a_1^{\beta_1} a_i^{\alpha_i} [a_i^{\alpha_i}, a_1^{\beta_1}]$  one can rewrite the product (5) in the following form:

$$(6) \quad a_1^{\alpha_1 + \beta_1} a_2^{\alpha_2} \dots a_n^{\alpha_n} a_2^{\beta_2} \dots a_n^{\beta_n} \prod_{i=2}^n [a_i^{\alpha_i}, a_1^{\beta_1}]$$

By induction on the length of the base, we can assume that there are special quadratic polynomials, say  $g_2(\bar{x}, \bar{y}), \dots, g_n(\bar{x}, \bar{y})$ , where  $\bar{x} = (x_2, \dots, x_n), \bar{y} = (y_2, \dots, y_n)$ , such that

$$a_2^{\alpha_2} \dots a_n^{\alpha_n} a_2^{\beta_2} \dots a_n^{\beta_n} = a_2^{g_2(\alpha, \beta)} \dots a_n^{g_n(\alpha, \beta)}.$$

Notice that for 2-nilpotent groups the equalities

$$[a_i^{\alpha_i}, a_1^{\beta_1}] = [a_i, a_1]^{\alpha_i \beta_1}$$



hold for every  $\alpha_i, \beta_1$ . So

$$\Pi_{i=2}^n[a_i^{\alpha_i}, a_1^{\beta_1}] = \Pi_{i=2}^n[a_i, a_1]^{\alpha_i \beta_1}$$

Since  $[a_i, a_1] \in [G, G]$  one has  $[a_i, a_1] = a_{s+1}^{\delta_{s+1,i}} \dots a_n^{\delta_{ni}}$  for some  $\delta_{ji} \in \mathbb{Z}$ . Therefore

$$\begin{aligned} \Pi_{i=2}^n[a_i^{\alpha_i}, a_1^{\beta_1}] &= \Pi_{i=2}^n(a_{s+1}^{\delta_{s+1,i}} \dots a_n^{\delta_{ni}})^{\alpha_i \beta_1} = \\ \Pi_{i=2}^n a_{s+1}^{\delta_{s+1,i} \alpha_i \beta_1} \dots a_n^{\delta_{ni} \alpha_i \beta_1} &= a_{s+1}^{\sum_{i=2}^n \delta_{s+1,i} \alpha_i \beta_1} \dots a_n^{\sum_{i=2}^n \delta_{ni} \alpha_i \beta_1} \end{aligned}$$

Observe that  $h_j(x_1, \dots, x_n, y_1) = \sum_{i=2}^n \delta_{ji} x_i y_1$  are special quadratic polynomials in  $x$  and  $y$ , so

$$\Pi_{i=2}^n[a_i^{\alpha_i}, a_1^{\beta_1}] = a_{s+1}^{h_{s+1}(\alpha, \beta)} \dots a_n^{h_n(\alpha, \beta)}$$

Combining the latter one with the equality (6) one gets that the initial product (5) is equal to

$$a_1^{\alpha_1 + \beta_1} a_2^{g_2(\alpha, \beta)} \dots a_s^{g_s(\alpha, \beta)} a_{s+1}^{g_{s+1}(\alpha, \beta) + h_{s+1}(\alpha, \beta)} a_n^{g_n(\alpha, \beta) + h_n(\alpha, \beta)},$$

which proves the lemma.  $\square$

**Theorem 12.4.** *Every finitely generated group  $G$  of nilpotency class at most two is graph automatic.*

*Proof.* We prove the theorem by cases.

*Case 1:* If  $G$  is abelian then our Example 6.5 shows that  $G$  is graph automatic.

*Case 2:* Assume that  $G$  be a finitely generated torsion free 2-nilpotent group. Fix an arbitrary upper central Malcev's base  $a$  of  $G$ . We use notation from Lemma 12.3 throughout the proof. Every element  $g \in G$  can be uniquely represented by its tuple of coordinates  $\sigma(g)$  relative to the base  $a$ . The set of coordinates of elements of  $G$

$$\sigma(G) = \{\sigma(g) \mid g \in G\} = Z_{\omega_1} \times \dots \times Z_{\omega_n} = \mathbb{Z}^n$$

is in bijective correspondence with  $G$ . This set is clearly definable by first-order formulas in the Presburger arithmetic  $\mathcal{P} = \langle \mathbb{N}, + \rangle$ . By Theorem 3.7, the set is FA recognizable.

Now we prove that the Cayley graph  $\Gamma$  of  $G$  relative to the generating set  $\{a_1, \dots, a_n\}$  is interpretable in  $\mathcal{P}$ . It suffices to show that for a given generator  $a_i$  the set of pairs

$$\{(\sigma(g), \sigma(ga_i)) \mid g \in G\}$$

is first order interpretable in  $\mathcal{P}$  for each  $a_i$ . To this end let  $g \in G$  and  $\sigma(g) = (\alpha_1, \dots, \alpha_n)$ . By Lemma 12.3 there exist a tuple of special polynomials  $f(x, y)$  such that

$$(7) \quad ga_i = a^{f(\sigma(g), \varepsilon_i)}$$

where  $\varepsilon_i = (0, \dots, 0, 1, 0, \dots, 0)$  (all components are equal to 0, except for the  $i$ s, which is equal to 1). Therefore,

$$\{(\sigma(g), \sigma(ga_i)) \mid g \in G\} = \{(\sigma(g), f(\sigma(g), \varepsilon_i)) \mid g \in G\}$$

Notice, that  $f(\sigma(g), \varepsilon_i) = (f_1(\sigma(g), \varepsilon_i), \dots, f_n(\sigma(g), \varepsilon_i))$  and every  $f_j(\sigma(g), \varepsilon_i)$  is a fixed linear function in  $\sigma(g)$  since  $f$ , by Lemma 12.3, is a special polynomial. each linear polynomial is first order definable in  $\mathcal{P}$ . Therefore the set

$$(\alpha, f_j(\alpha, \varepsilon_i)) \mid \alpha \in \mathbb{Z}^n\}$$

is first order definable in  $\mathcal{P}$  for every  $j = 1, \dots, n$ . Hence the set

$$\{(\alpha, f(\alpha, \varepsilon_i)) \mid \alpha \in \mathbb{Z}^n\}$$

is also first order definable in  $\mathcal{P}$  for every  $i = 1, \dots, n$ . All these are FA recognizable by Theorem 3.7. Thus  $G$  is graph automatic.

*Case 3.* Let  $G$  be an arbitrary finitely generated 2-nilpotent group. Then the set of all torsion elements in  $G$  forms a finite subgroup  $T(G)$  of  $G$ . If  $k$  is the order of  $T(G)$  then the subgroup  $G^k$  generated by  $\{g^k \mid g \in G\}$  is a finitely generated torsion-free 2-nilpotent subgroup of  $G$  such that quotient  $G/G^k$  is finite. So  $G$  is a finite extension of  $G^k$ . By Case 2 the group  $G^k$  is graph automatic. By Theorem 10.1, the original group  $G$  is also graph automatic. This proves the theorem.  $\square$

There are finitely generated nilpotent graph automatic groups which are not 2-nilpotent. For instance, the group  $\mathcal{H}_n(Z)$ , where  $n > 3$ , as proved in Example 6.7 are graph automatic. The following provide other examples of graph automatic nilpotent groups of class  $> 2$ .

**Example 12.5.** The following groups are graph automatic:

- Let  $UT(n, \mathbb{Z})$  be the group of upper triangular matrices over  $\mathbb{Z}$  (with 1 at the diagonal).
- The group  $UT^m(n, Z)$  that consists of all matrices from  $UT(n, Z)$  such that the first  $m-1$  diagonals above the main one have all entries equal to 0.

*Proof.* The proof follows from Proposition 11.2.  $\square$

### 13. SOLVABLE GRAPH AUTOMATIC GROUPS

**13.1. Baumslag-Solitar groups.** The Baumslag-Solitar groups are finitely generated one-relator groups [6]. They play an important role in combinatorial and geometric group theory. These groups have two generators  $a$  and  $b$  and have parameters  $n, m \in \mathbb{N}$ . For each  $n, m \in \mathbb{N}$  the presentation of the Baumslag-Solitar group  $B(m, n)$  is given by the following relation:

$$a^{-1}b^ma = b^n.$$

It is well-known that the groups  $B(m, n)$ , for  $m \neq n$ , are not automatic, and when  $n = m$ , the groups  $B(n, m)$  are automatic [15]. It is also known

that the Baumslag-Solitar groups are all asynchronously automatic [15]. In this section we prove that the Baumslag-Solitar groups  $B(1, n)$  are graph automatic groups for all  $n \in \mathbb{N}$ .

**Theorem 13.1.** *The Baumslag-Solitar groups  $B(1, n)$  are graph automatic for  $n \in \mathbb{N}$ .*

*Proof.* To simplify our exposition we consider the group  $B(1, 2)$ . We prove this theorem through the action of this group on the real line  $\mathcal{R}$ . We represent the elements  $a$  and  $b$  of the group  $B(1, 2)$  as the linear functions  $g_a : \mathcal{R} \rightarrow \mathcal{R}$  and  $g_b : \mathcal{R} \rightarrow \mathcal{R}$  given by  $g_a(x) = 2x$  and  $g_b(x) = x + 1$ . Let  $G$  be the group generated by the linear functions  $g_a$  and  $g_b$ . The group operation in  $G$  is the composition of functions. Our goal is to show that the group  $G$  is isomorphic to  $B(1, 2)$  via the isomorphism induced by the mapping  $a \rightarrow g_a$  and  $b \rightarrow g_b$ .

**Claim 13.2.** *The elements  $g_a$  and  $g_b$  satisfy the identity  $g_a^{-1}g_b g_a = g_b^2$ .*

Indeed, given a real number  $x \in \mathcal{R}$ , we have the following equalities:

$$g_a^{-1}g_b g_a(x) = g_b g_a\left(\frac{1}{2}x\right) = g_a\left(\frac{1}{2}x + 1\right) = x + 2 = g_b^2(x).$$

For the next claim recall that  $\mathbb{Z}[1/2]$  is the set of all dyadic numbers, that is numbers of the form  $i/2^j$ , where  $i, j \in \mathbb{Z}$ .

**Claim 13.3.** *Each  $g \in G$  is a linear function of the form  $ax + b$ , where  $a = 2^n$  and  $b \in \mathbb{Z}[1/2]$ .*

The proof of the claim is by induction on the length of words over  $a, b$  representing elements of  $G$ . For  $g_a$  and  $g_b$  the claim is obvious. Suppose  $g \in G$  is of a desired form  $2^n x + m/2^k$ . We need to show that the functions  $gg_a$ ,  $gg_a^{-1}$ ,  $gg_b$ , and  $gg_b^{-1}$  are also of the desired form. But this can be shown through easy calculations. For instance,

$$\begin{aligned} gg_a(x) &= g_a(g(x)) = g_a(2^n x + m/2^k) = 2^{n+1} x + m/2^{k-1}, \text{ and} \\ gg_b(x) &= g_b(g(x)) = g_b(2^n x + m/2^k) = 2^{n+1} x + (m + 2^k)/2^k. \end{aligned}$$

The next claim shows that every function of the form  $2^n x + m/2^k$  can be generated through the base functions  $g_a$  and  $g_b$ . This reverses the claim above.

**Claim 13.4.** *Assume that  $g$  is a function of the form  $2^n x + m/2^k$ . Then  $g = g_a^n(g_b^k g_a^m g_a^{-k})$ .*

Indeed, first note the following equality:

$$g_a^k g_b^m g_a^{-k}(x) = g_b^m g_a^{-k}(2^k x) = g_a^{-k}(2^k x + m) = x + m/2^k.$$

Now it is easy to see that

$$g_a^n g_a^k g_b^m g_a^{-k}(x) = g_a^k g_b^m g_a^{-k}(2^n x) = 2^n x + m/2^k = g$$

These claims show that the groups  $B(1,2)$  and  $G$  are isomorphic. The isomorphism is induced by the mapping  $a \rightarrow g_a$  and  $b \rightarrow g_b$ . So, we identify these two groups.

Now we give a representation of the Cayley graph for  $B(1,2)$  with the generators  $a$  and  $b$ . Consider a function  $g \in G$  of the form  $2^n x + m/2^k$ , where  $k \geq 0$ . We can always assume that  $m$  is odd if  $k > 0$ . Thus, we can represent the element  $g$  as the (convoluted) string  $\otimes(n, m, k)$ . We put the following conditions on these strings:

- (1)  $n$  and  $m$  are integers written in binary.
- (2) The integer  $k$  is written in unary.
- (3) If  $k$  is the empty string (thus  $k$  represents 0), then  $m \in \mathbb{Z}$ . Otherwise,  $m$  is odd.

We denote this set of strings by  $D$ . It is clear that  $D$  is finite automata recognizable set. It is also clear that the mapping  $D \rightarrow G$  given by  $(n, m, k) \rightarrow 2^n x + m/2^k$  is a bijection.

The multiplication by generators  $g_a$  and  $g_b$  of elements  $g = 2^n x + m/2^k$  of  $G$  is now represented on  $D$  as follows:

$$(n, m, k) \rightarrow_a (n + 1, m, k - 1) \text{ and } (n, m, k) \rightarrow_b (n, m + 2^k, k).$$

It is clear that the multiplication by  $a$  is recognized by finite automata. The multiplication by  $b$  is also finite automata recognizable because  $k$  is represented in unary.  $\square$

**13.2. Other metabelian groups.** We have shown in Section 10.2 that the groups  $G = (\mathbb{Z} \times \mathbb{Z}) \rtimes_A \mathbb{Z}$  are graph automatic, where  $A \in SL(2, \mathbb{Z})$ . This can clearly be generalized to higher dimensions:

**Proposition 13.5.** A group  $G = \mathbb{Z}^n \rtimes_A \mathbb{Z}$ , where  $A \in SL(n, \mathbb{Z})$  is graph automatic.

*Proof.* The argument from Proposition 10.5 can be applied here as well.  $\square$

**13.3. Non-metabelian solvable groups.** Let  $T(n, \mathbb{Z})$  be the group of triangular matrices of size  $n \times n$  over the integers  $\mathbb{Z}$ . So, matrices in  $T(n, \mathbb{Z})$  have all zeros below the main diagonal.

**Proposition 13.6.** The group  $T(n, \mathbb{Z})$  is graph automatic.

*Proof.* This follows from Proposition 11.2.  $\square$

Another interesting example comes from Theorem 10.6.

**Example 13.7.** Let  $K$  be a solvable finite group. Then by Theorem 10.6 the wreath product  $K$  by the group  $\mathbb{Z}$  is Cayley graph automatic. It is clear that  $G$  is solvable of the solvability class at least the class of  $K$ .

#### 14. PROVING NON-AUTOMATICITY

In this section we discuss the issue of building non graph automatic groups. Graph automatic groups, as we have proved, have decidable word problem. Therefore, all finitely generated groups with undecidable word problem are obviously not graph automatic. By Theorem 3.7, it is clear that if a structure  $\mathcal{A}$  has undecidable first order theory then  $\mathcal{A}$  is not automatic. This suggests the following idea to construct a non graph automatic group with decidable word problem. Search for a group  $G$  with solvable word problem such that the first order theory of one of its Cayley graphs is not decidable. But Theorem 5.3 prohibits the existence of such groups. This observation calls for finding more sophisticated methods for proving non graph automaticity of groups. Below we provide one such simple method.

The next lemma puts a significant restriction on functions in automatic structures.

**Lemma 14.1** (Constant Growth Lemma). *Let  $f : D^n \rightarrow D$  be a function on  $D \subseteq \Sigma^*$  such that the graph of  $f$  is FA recognizable. There exists a constant  $C$  such that for all  $x_1, \dots, x_n \in D$  we have*

$$|f(x_1, \dots, x_n)| \leq \max\{|x_i| \mid i = 1, \dots, n\} + C.$$

*Proof.* Let  $C_1, C_2$  be the number of states of finite automata recognizing the graph of the function  $f$  and the domain  $D$ , respectively. Assume that there exist  $x_1, \dots, x_n \in D$  such that

$$|f(x_1, \dots, x_n)| > \max\{|x_i| \mid i = 1, \dots, n\} + C_1 \cdot C_2.$$

Let  $y = f(x_1, \dots, x_n)$ . We can write  $y$  as

$$y = \otimes(x_1, \dots, x_n, z) \cdot \otimes(\lambda, \dots, \lambda, z')$$

where  $|z| = \max\{|x_i| \mid i = 1, \dots, n\}$ . Since  $\mathcal{M}$  accepts  $\otimes(x_1, \dots, x_n, y)$ , by the Pumping Lemma  $z$  can be pumped to a longer string  $u \in \Sigma^*$  such that  $u \neq z$ ,  $\otimes(x_1, \dots, x_n, u)$  is accepted by  $\mathcal{M}$  and  $u \in D$ . But  $f$  is a function  $D$ . The desired  $C$  is  $C_1 \cdot C_2$ .  $\square$

Let  $\mathcal{A}$  be an automatic structure with atomic functions  $f_1, \dots, f_m$ . For a set  $E = \{e_1, \dots, e_k\}$  of elements of the structure define the following sequence by induction:

$$G_1(E) = E, \quad G_{n+1}(E) = G_n(E) \cup \{f_i(\bar{a}) \mid \bar{a} \in G_n(E), i = 1, \dots, m\}, \quad n > 0.$$

**Theorem 14.2** (Growth of Generation Theorem). *In the setting above, there exists a constant  $C$  such that  $|a| \leq C \cdot n$  for all  $a \in G_n(E)$ . Hence, for all  $n \geq 1$*

$$|G_n(E)| \leq |\Sigma|^{C \cdot n} \quad \text{if } |\Sigma| > 1.$$

*If  $|\Sigma| = 1$  then  $|G_n(E)| \leq C \cdot n$ .*

*Proof.* Let  $C_i$  be the constant stated in the previous lemma for the function  $f_i$ . Let  $C' = \max\{C_1, \dots, C_m, |e_1|, \dots, |e_k|\}$ . By the lemma above, using induction on  $n$  one can easily prove that for all  $a \in G_n(E)$  we have  $|a| \leq (C' + 1) \cdot n$ . Set  $C = C' + 1$ . Now we clearly have  $G_n(E) \subseteq \Sigma^{\leq C \cdot n}$ . Hence the theorem is proved.  $\square$

The result above can be applied to provide many examples of non automatic structures. For instance, the following structures do not have automatic presentations:

- The semigroup  $(\Sigma^*; \cdot)$ .
- The structure  $(\omega; f)$ , where  $f : \omega^2 \rightarrow \omega$  is a pairing function (that is a bijection between  $\omega^2$  and  $\omega$ ).
- The free group  $F(n)$  with  $n$  generators, where  $n > 1$ .
- The structures  $(\omega; Div)$  and  $(\omega; \times)$ .  $\square$

For reference see [26] [8].

For groups, the theorem above implies the following corollary:

**Corollary 14.3.** Finitely generated groups whose word problem can not be solved in quadratic time are not graph automatic.  $\square$

Of course, there are groups whose word problems can not be decided in quadratic time. For instance, see [44]. However, the authors do not know of any natural example of such groups.

## 15. FINITELY GENERATED FA PRESENTABLE GROUPS

The Definition 3.1 suggests that automaticity into groups can also be introduced by requiring that the group operation is FA recognizable. In this section we do exactly this by considering groups  $(G, \cdot)$  in which the group operation  $\cdot$  is automatic. We recast the definition:

**Definition 15.1.** We say that a group  $G$  is **FA presentable** if the following conditions are satisfied:

- The domain of  $G$  is FA recognizable set.
- The graph of the group operation, that is, the set  $\{(u, v, w) \mid u \cdot v = w\}$  is FA recognizable.

Note that the definition does not require that  $G$  is finitely generated. Examples of FA presentable groups are the following:

- The additive group of  $p$ -adic rational numbers:  $\mathbb{Z}[1/p]$ .
- Finitely generated Abelian groups.
- The infinite direct sum  $\bigoplus G$  of a finite group  $G$ .

For abelian (not necessarily finitely generated) FA-presentable groups see [36] [37] [45]. We also mention a recent result of Tsankov that the additive group of rational numbers is not FA presentable. The proof uses advanced techniques of additive combinatorics [45].

Our goal is to give a full characterization of finitely generated FA-presentable groups. Our proof follows Thomas and Oliver [39]. We start with the following definition.

**Definition 15.2.** An infinite group is **virtually Abelian** if it has torsion free normal Abelian subgroup of finite index.

An example of virtually Abelian group is  $D_\omega$ , the infinite dihedral group. One can view this group as the automorphism group of the graph that looks like the bi-infinite chain.

**Lemma 15.3.** *Finitely generated virtually Abelian groups all are FA presentable.*

*Proof.* Let  $G$  be a finitely generated virtually Abelian group. By the definition, there exists an Abelian torsion free normal subgroup  $A$  of  $G$  which has a finite index, say  $n$ , in  $G$ . We can assume that  $A$  is isomorphic to  $\mathbb{Z}^k$ . Let  $x_1, \dots, x_k$  be the generators of  $\mathbb{Z}^k$ . Without loss of generality, to avoid notations, we assume that  $k = 2$ .

Let  $t_1, \dots, t_n$  be all representatives of the quotient group  $G/\mathbb{Z}^k$ . Every element  $g \in G$  can be written as  $t_i x_1^{a_1} x_2^{a_2}$  where  $a_1, a_2 \in \mathbb{Z}$  and  $i \in \{1, \dots, n\}$ . Since  $\mathbb{Z}^k$  is normal, we also have the following list of equalities for some fixed integers  $c_{1,1,j}$ ,  $c_{1,2,j}$ ,  $c_{2,1,j}$  and  $c_{2,2,j}$ :

$$x_1 t_j = t_j x_1^{c_{1,1,j}} x_2^{c_{1,2,j}} \quad \text{and} \quad x_2 t_j = t_j x_2^{c_{2,1,j}} x_1^{c_{2,2,j}} \quad \text{where } j = 1, \dots, n.$$

In addition, there are integer constants  $c_i$  and  $c_j$  such that  $t_i t_j = t_k x_1^{c_i} x_2^{c_j}$  for all  $i, j = 1, \dots, n$ . Taking all these into account we can now perform the group operation on  $G$  as follows:

$$\begin{aligned} t_i x_1^{a_1} x_2^{a_2} \cdot t_j x_1^{b_1} x_2^{b_2} &= t_i t_j x_1^{a_1 c_{1,1,j} + a_2 c_{2,1,j} + b_1} x_2^{a_1 c_{1,2,j} + a_2 c_{2,2,j} + b_2} = \\ &= t_k x_1^{c_i} x_2^{c_j} x_1^{a_1 c_{1,1,j} + a_2 c_{2,1,j} + b_1} x_2^{a_1 c_{1,2,j} + a_2 c_{2,2,j} + b_2}. \end{aligned}$$

All these operations can now be performed by finite automata. This proves the lemma.  $\square$

The next lemma again suits a more general case of monoids. A **monoid** is a structure  $(M; \cdot)$ , where  $\cdot$  is an associative binary operation on  $M$ .

**Lemma 15.4.** *If  $(M; \cdot)$  is an automatic monoid then for all  $m_1, \dots, m_n \in M$  the following inequality holds true:*

$$|m_1 \cdots m_n| \leq \max\{|m_i| \mid i = 1, \dots, n\} + C \cdot \log(n),$$

where  $C$  is a constant.

*Proof.* Let  $C$  be the number required by The Constant Growth Lemma (see Lemma 14.1). By the lemma we have:

$$(\#) \quad |m_1 \cdot m_2| \leq \max\{|m_1|, |m_2|\} + C$$



for all  $m_1, m_2 \in M$ . So, for  $n = 1, 2$  the lemma is obvious. For  $n > 2$  we write  $n = n_1 + n_2$  with  $n_1 = n/2$ . Consider the elements

$$x = m_1 \cdot \dots \cdot m_{n_1} \text{ and } y = m_{n_1+1} \cdot \dots \cdot m_n.$$

From the induction assumption we have the following inequalities:

$$|x| \leq \max_{1 \leq i \leq n_1} |m_i| + C \cdot \log(n_1) \text{ and } |y| \leq \max_{n_1+1 \leq i \leq n} |m_i| + C \cdot \log(n_1)$$

Therefore from the inductive assumption and (#) we have:

$$|x \cdot y| \leq \max\{|x|, |y|\} + C \leq \max\{|m_i| \mid i = 1, \dots, n\} + C \cdot \log(n).$$

Thus, we have the desired inequality.  $\square$

Let  $X = \{g_1, \dots, g_k\}$  be the set of generators of the group  $G$ . For each element  $g \in G$ , let  $\delta(g)$  be the minimum  $n$  such that  $g = a_1 \cdot \dots \cdot a_n$  in the group  $G$ , where each  $a_i \in X$ . Now we define the following:

$$G_n = \{g \in G \mid \delta(g) \leq n\} \text{ and } gr_G(n) = |G_n|.$$

The function  $gr_G$  is called the **growth function** of the group  $G$ .

**Lemma 15.5.** *If  $G$  is FA-presentable then its growth function is bounded by a polynomial.*

*Proof.* By Lemma 15.4, for each  $g \in G_n$  we have  $\delta(g) \leq C \log(n)$ , where  $C$  is a constant. Therefore there is a constant  $C_1$  such that

$$(\star) \quad gr_G(n) \leq |\Sigma|^{C \log(n)} \leq 2^{C_1 \log(n)} \leq n^{C_1}.$$

This proves the lemma.  $\square$

Now we need two deep results from group theory. The first is the theorem of Gromov stating that finitely generated groups with polynomial growth are all virtually nilpotent [19]. The second is the theorems of Romanovski [41] and Noskov[38] stating that a virtually solvable group has a decidable first order theory if and only if it is virtually Abelian. Virtually nilpotent groups are virtually solvable. Thus, we have proved the following:

**Theorem 15.6.** *A finitely generated group is FA-presentable if and only if it is virtually Abelian.*

Since all virtually abelian groups are graph automatic we have the following result:

**Corollary 15.7.** Every finitely generated FA-presentable group is graph automatic.  $\square$

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